A Report for the Year 1993

WOODS CHARITABLE FUND, INC.
Woods Charitable Fund, Inc.  
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About the Foundation

Woods Charitable Fund is a private philanthropic foundation created by Frank H. Woods, his wife Nelle Cochrane Woods, and their three sons. It was incorporated in Nebraska in 1941 and Frank Woods provided major endowment in 1952 before his death that year. In 1955 the Fund received one-third of the net residuary estate of Nelle C. Woods. Over the years the family-owned Sahara Coal Company, Inc. has contributed to foundation assets.

The Fund’s reports and Treasury Form 990-PF are on file at the Foundation Center in New York City and Washington, D.C. as well as in the offices of the Secretary of State in Lincoln, Nebraska and the Attorney General in Springfield, Illinois.

Purpose statement in 1993

Woods Charitable Fund makes grants to nonprofit organizations working to build stronger communities in metropolitan Chicago, Illinois and Lincoln, Nebraska. Woods supports the nonprofit sector in its role to identify and promote more just, effective and creative approaches. A particular interest is to increase opportunities for less advantaged people.

While the foundation’s overall purpose is constant, it has adapted guidelines in both cities. In Chicago, as a modest size foundation in a major city, Woods’ grant making emphasizes community participation in exploring policy options and seeking long-term solutions for urban challenges. In Lincoln, as a proportionately larger donor in a smaller city, the grant program is broader in outlook, supporting both direct service and policy programs that advance the Fund’s overall purpose.

Trustees, Officers and Staff in 1993

<table>
<thead>
<tr>
<th>Trustees</th>
<th>Other Officers</th>
<th>Founders</th>
<th>Staff</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lucia Woods Lindley</td>
<td>Pam Baker</td>
<td>Frank H. Woods</td>
<td>Jean Rudd</td>
</tr>
<tr>
<td>President</td>
<td>Asst. Secretary</td>
<td>(1868-1952)</td>
<td>Executive Director</td>
</tr>
<tr>
<td>George Kelm</td>
<td>Suzanne R. Boyle</td>
<td>Nelle C. Woods</td>
<td>Pam Baker</td>
</tr>
<tr>
<td>Vice President</td>
<td>Secretary/Asst. Treasurer</td>
<td>(1870-1950)</td>
<td>Director, Lincoln Office</td>
</tr>
<tr>
<td>Thomas C. Woods, III</td>
<td>Jean Rudd</td>
<td>Thomas C. Woods</td>
<td>Ken Rolling</td>
</tr>
<tr>
<td>Vice President</td>
<td>Executive Director</td>
<td>(1895-1958)</td>
<td>Associate Director</td>
</tr>
<tr>
<td>Charles N. Wheatley</td>
<td></td>
<td>Henry C. Woods</td>
<td>Kaye Wilson</td>
</tr>
<tr>
<td>Treasurer</td>
<td></td>
<td>(1895-1968)</td>
<td>Program Director</td>
</tr>
<tr>
<td>Sydney D. Beane</td>
<td></td>
<td>Frank H. Woods, Jr.</td>
<td>Nancy Helman</td>
</tr>
<tr>
<td>Mary Decker</td>
<td></td>
<td>(1905-1980)</td>
<td>Administrative Coordinator</td>
</tr>
<tr>
<td>Marie Fischer</td>
<td></td>
<td></td>
<td>Joan Lemke</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Administrative Assistant</td>
</tr>
</tbody>
</table>
An Important Announcement

At the end of 1993 Woods Charitable Fund underwent a major restructuring that institutionalized in two separate foundations the different grant programs of the Fund in Chicago and Lincoln. Woods Charitable Fund will continue to operate in Lincoln only instead of Chicago and Lincoln. A second foundation, Woods Fund of Chicago, was created by transferring some of the original foundation’s assets to the new foundation. Woods Fund of Chicago will continue the grant programs in Chicago that Woods Charitable Fund offered for many decades. Both foundations are developing their boards beyond the existing trustees from each city. There will be continuity in the traditional giving levels, in the basic funding approaches, and in the staff in the two locations. Please see the Letter from the President for an explanation of the foundation restructuring.

1994 Trustees, Officers and Staff: Woods Charitable Fund

<table>
<thead>
<tr>
<th>Trustees</th>
<th>Staff</th>
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<tbody>
<tr>
<td>(in formation)</td>
<td></td>
</tr>
<tr>
<td>Thomas C. Woods, III</td>
<td>Pam Baker</td>
</tr>
<tr>
<td>President &amp; Treasurer</td>
<td>Executive Director &amp; Secretary</td>
</tr>
<tr>
<td>Marie Fischer</td>
<td>Joan Lemke</td>
</tr>
<tr>
<td>Vice President</td>
<td>Administrative Coordinator</td>
</tr>
<tr>
<td>Sydney D. Beane</td>
<td></td>
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</tbody>
</table>

1994 Directors, Officers and Staff: Woods Fund of Chicago

<table>
<thead>
<tr>
<th>Directors</th>
<th>Officers*</th>
<th>Staff</th>
</tr>
</thead>
<tbody>
<tr>
<td>(in formation)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>George Kelm*</td>
<td>Suzanne R. Boyle*</td>
<td>Jean Rudd*</td>
</tr>
<tr>
<td>President</td>
<td>Secretary, Asst. Treasurer</td>
<td>Executive Director</td>
</tr>
<tr>
<td>Charles N. Wheatley*</td>
<td></td>
<td>Ken Rolling</td>
</tr>
<tr>
<td>Vice President, Treasurer</td>
<td></td>
<td>Associate Director</td>
</tr>
<tr>
<td>Mary Decker</td>
<td></td>
<td>Kaye Wilson</td>
</tr>
<tr>
<td>Barack Obama</td>
<td></td>
<td>Program Director</td>
</tr>
<tr>
<td>Howard Stanback</td>
<td></td>
<td>Nancy Helman</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Administrative Coordinator</td>
</tr>
</tbody>
</table>
Woods Charitable Fund, 53 years old, has recreated itself into two foundations, one to operate in Lincoln and one in Chicago. As an organization that has valued grass roots and community-building activities, this restructuring into two locally grounded and locally run foundations should bring us even closer to the communities we serve. Instead of one foundation and board of trustees partly from Chicago and partly from Lincoln, the two foundations will develop their boards with people intimately involved in their home cities. There is every indication that these boards will include even more diverse people and perspectives. And instead of a single foundation with two sets of guidelines for two different cities — always confusing to applicants — each foundation can develop coherent grant programs responsive to its own communities.

How did this restructuring into two foundations come about? The conditions that set it in motion began many years ago. Woods Charitable Fund, founded in 1941 by Frank H. and Nelle Cochrane Woods and their three sons, operated very much as a family foundation until the early 1980’s. It was administered with remarkable skill and philanthropic leadership largely by trustees Frank H. Woods, Jr. and Thomas C. Woods, Jr. Frank Woods from Chicago and Tom Woods from Lincoln had many business and personal ties in both cities and the two-city grant making made sense. Their deaths in 1980 and 1989 respectively, brought changes — expanding the board beyond family members to acknowledge both the benefits of new viewpoints and the fewer family members in the two cities available for board membership; bringing on staff; introducing more targeted grant programs. The differences of the role of the foundation in each city became increasingly apparent.

Discussions, born in retreats, about the best way to carry out our mission in two different locations culminated in intensive debate throughout 1993 about our options. After approval from the board and a body of family members, Woods Charitable Fund determined to continue its operations solely in Nebraska where the foundation was incorporated, and to establish a second foundation in Chicago, transferring some of the Woods Charitable Fund assets to the new Woods Fund of Chicago to endow its grant making. The division of the assets should enable continuation of the same level of giving in each city as has been the pattern over the decades.

We did not reach this decision without strenuous debate, analysis of other options, and ultimately, some sadness. We celebrate the distinguished legacy of the founding donors and the rich history of the foundation’s partnership with decades of nonprofit leaders and projects. Woods Charitable Fund has been deeply involved in both communities. Its grants since 1941 total $67.8 million assisting initiatives of great breadth. Profound relationships exist among people active in Woods in the two cities. We wish to make special mention of the board members who are not from the Woods family who have contributed their time and their commitment. Analysis of proposals from Chicago organizations benefited from the fresh perspectives of Lincoln trustees Sheila Griffin, then Syd Beane and Marie Fischer. Chicagoans Sokoni Karanja, and then Mary Decker, brought their insights to
review of Lincoln proposals. In the end, however, we determined that we gain more than we lose by concentrating our resources and energy in one city and by building in that locale our ties with nonprofits, our board leadership and staff experience.

Before the restructuring of the foundation became an imminent issue, I had determined to resign from the board at the end of 1993. The opportunities inherent in thirteen years as trustee, four of them as president, have greatly enriched my journey. I have learned especially about the meaning and challenge of community — within our constituency of nonprofits, our targeted cities, and in the field of philanthropy itself. Perhaps the greatest privilege and pleasure has been to travel with such high caliber people as are in these areas.

I am pleased to see a family member, Thomas C. (Chip) Woods, III continue our family participation as the new president of Woods Charitable Fund in Lincoln, and in Chicago, to see George Kelm, a highly valued trustee for 26 years, become the first president of the new Woods Fund of Chicago. In each case, their leadership underlines both the continuity and the new beginnings of the two foundations.

This letter has been largely about the foundation itself and how its structure has evolved. This has been a somewhat introspective process. However the whole purpose of the foundations, old and new, and of the restructuring is to make us better partners with the nonprofit organizations working to strengthen the two cities. We hope and believe that our partnerships with nonprofits will be enhanced by the foundations’ more locally representative boards and by their ability to respond more fully to the issues, the opportunities and the creative leadership in our communities. Both foundations need candid counsel and trusting relationships with the community members with whom we seek to work. Let us hear from you. Help us by sharing your ideas and your strategies.

Lucia Woods Lindley
President, 1990 - 1993
As the Announcement and the Letter from the President state, there were profound changes at Woods Charitable Fund in 1993. Yet in spite of a year-long planning process culminating in the creation of a second foundation, Woods focused on its mission of making grants. The grant total, $2.6 million, was the highest in many years. The Fund’s trustees approved 123 grants.

These final totals, these transactions where paper proposals were exchanged for paper checks, mask the real drama of people envisioning and working for better communities. New organizations were born in 1993, and no one ever said giving birth was easy. People took risks and created the Wagon Train Project, bringing performing artists to Lincoln from other parts of the world to create while working closely with human service agencies in the community. In Chicago leaders initiated the Cross City Campaign for Urban School Reform, linking school reform in major cities to learn from each other, and the Gary Organizing Project, grounded in Gary, IN churches.

People and organizations pulled together and collaborated in unusual ways on the Chicago Affordable Housing and Jobs Campaign that won substantial commitments from the City of Chicago. (Chicago Rehab Network, Midwest Academy and consultant Josh Hoyt were among the key players.) In Lincoln Catholic Social Services and Hispanic Community Center teamed up to provide case management as well as educational and social activities for Latino families dealing with substance abuse. Leaders from the Chicago Initiative proposed a radical reinvention of the process for awarding federal employment and training funds, and sought federal waivers for an experimental Chicago Laboratory for Change.

People and organizations moved to expand their impact. With increased support from local businesses, Lincoln’s Summer Youth Employment Program nearly doubled the number of summer jobs created for low-income and at-risk youth. Chicago Commons Employment Training Center hired a public relations firm to help spread the policy “lessons learned” at their workplace. A community organizer with 25 years of experience, Ed Shurna, stepped back in a sabbatical research project to explore the role of spirituality for people engaged in social justice struggles and to design new leadership training.

And many people and organizations demonstrated that key ingredient for success — perseverance. They kept going, often against great odds, getting wiser, cannier, more strategic. In all these cases Woods was an investor in real life dramas of people working to transform their plans for better communities into real, lasting impacts. “Read all about it” in our grants listings in this report.

In 1993 Kaye Wilson joined the Fund in Chicago to direct the priority Public Policies Affecting Families grant program that focuses on welfare-to-work policy. Kaye has extensive administrative experience with large public systems that impact on people who are poor. Woods’ funding in the welfare reform field since 1986 has, we hope, helped build the strong network of Illinois organizations now working actively on this very topical issue.
A most significant transition in 1993 was the resignation of Lucia Woods Lindley as board president and trustee. Lucia joined the board in 1980. Immediately she was a committed participant, at once a student and a leader, one who sought to learn and to influence. It is a wonderful and rare combination. She established her own philanthropy, The Sophia Fund, dedicated to women’s advocacy issues and now housed at the Ms. Foundation and the Chicago Foundation for Women. As president Lucia Woods Lindley did far more than preside. She read volumes, she sought counsel, she consulted continually with staff members, she challenged herself and others to do what she believed was right and not just expedient. She and other board members took on the enormous task of considering how Woods Charitable Fund should be structured to best serve both Chicago and Lincoln.

The result of their deliberations is the creation of a second foundation. In truth we have not one old foundation and one new but two new foundations. Both will be invigorated by the chance to regroup, reflect, renew.

So this is a bittersweet annual report — the last containing both Lincoln and Chicago guidelines and grants lists, the last listing trustees and staff in two cities. Like two young siblings that have grown into different but complementary personalities, we strike off on our own while retaining our family ties. We have so much to look forward to. We have so much from the past on which to build.

Jean Rudd
Executive Director
### 1993 Grants Summary

<table>
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<th>Lincoln Grant Program</th>
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<td>Children, Youth and Families</td>
<td>$370,956</td>
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<tr>
<td>Education</td>
<td>42,900</td>
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<tr>
<td>Community Development and Housing</td>
<td>62,500</td>
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<tr>
<td>Arts and Humanities</td>
<td>188,550</td>
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<tr>
<td>General</td>
<td>93,320</td>
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<tr>
<td><strong>Total Lincoln Grants Paid</strong></td>
<td><strong>$ 758,226</strong></td>
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<table>
<thead>
<tr>
<th>Chicago Grant Program</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Community Organizing and Community Programs</td>
<td>$545,300</td>
</tr>
<tr>
<td>Public Policies Affecting Families</td>
<td>408,000</td>
</tr>
<tr>
<td>Community and Civic Issues</td>
<td>430,000</td>
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<tr>
<td>Education</td>
<td>257,500</td>
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<tr>
<td>Arts and Humanities</td>
<td>151,500</td>
</tr>
<tr>
<td>Special Purpose Grants</td>
<td>57,500</td>
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<tr>
<td><strong>Total Chicago Grants Paid</strong></td>
<td><strong>$1,849,800</strong></td>
</tr>
</tbody>
</table>

| Grand Total 1993 Grants Paid                       | **$2,608,026** |
Purpose

Through grants made to eligible organizations, Woods Charitable Fund seeks to strengthen the community by improving opportunities and life outcomes for all people in Lincoln, Nebraska. Woods supports members of the nonprofit sector who are exploring creative alternatives and promoting more just, effective approaches to meet community needs.

The Fund supports a broad range of activities which include but are not limited to the Special Interests described below. We are interested in reviewing plans for pilot projects and innovative programs as well as sustained growth for organizations whose work coincides with the purposes of the foundation. The foundation funds both direct services and policy programs.

The Fund looks forward to opportunities to review proposals joining nontraditional partners (academic, community, government, business, public service, religious) to explore ways to integrate perspectives and talents toward common goals. We support projects that involve coalition building, public education and advocacy to effect positive social change and improve opportunities for all citizens.

Prairie Visions is a statewide consortium for Disciple-Based Art Education that provides professional development and curriculum design programs. It links Nebraska’s major art museums, higher education faculty and arts groups with educators in elementary and secondary schools.

Lincoln Children’s Museum offers programs that encourage children and adults to create on their own. Woods support underwrites participation by low-to-moderate income families.

"T.G.I.F. . . . Thank Goodness I’m Female," a pilot program of Homestead Girl Scout Council, enabled young women to produce a TV program about issues of concern to teens for airing on the local cable access channel.
Special Interests

Children, Youth and Families A significant cornerstone in community life is the family. Its power to mold and develop emotionally healthy, secure, loving members determines Woods' interest in supporting projects to strengthen families and provide opportunities for youth to achieve their full potential. This is a broad category and may include either direct service or policy programs focused on child care, single parenthood, the elderly, family planning, shelter, troubled families as well as others. The areas of youth and family violence have been identified as primary community concerns and the Fund will give special consideration to programs that address those issues.

Education Our educational systems face the challenge of preparing individuals for a rapidly changing society. Today, more than ever before, education touches the lives of people of all ages—students at all levels, newcomers learning a second language and culture, adults retraining to handle new job responsibilities. The Fund looks forward to opportunities to review proposals from existing educational institutions and from creative new programs to meet the challenge.

Community Development and Housing To sustain or increase its vitality, a community must consistently nurture its development, particularly as that development impacts lower income citizens. The Fund supports projects that empower less advantaged Lincoln residents to participate in the economic system and that foster community participation and responsibility thus joining people to solve problems and improve opportunities for all. Woods recognizes the growing need in Lincoln for affordable housing and supports programs that expand its availability. Advocacy groups, neighborhood, citizen and community organizations are avenues to promote citizen involvement and strengthen neighborhoods.

Arts and Humanities Visual art, literature, dance, theater and music are cornerstones of a community’s aesthetic and ethical being. They can bind people through shared experience and understanding. They stimulate thought, communication and creativity. Woods supports programs in the arts and humanities that will enhance or develop the common aesthetic spirit through education, creation or performance.

Please read “To Apply for a Grant” before contacting the Fund.

Funding Limitations and Restrictions

While grants are made primarily in Lincoln, Nebraska, the Fund will consider grant applications from other locations in the context of their impact on Lincoln. While the Fund makes grants in diverse fields, the following areas are not eligible for grant review:

- Individual needs
- Endowments
- Scholarships, Fellowships
- Fundraising benefits or program advertising
- Religious programs
- Capital projects in health care institutions
- Medical and scientific research
Applicants generally should be organizations described in Section 501(c)(3) of the Internal Revenue Code and have a written ruling from the IRS that they also qualify under Section 509(a)(1), (2) or (3) of the Code (publicly supported organizations and their affiliates). Occasionally the Fund considers proposals from 501(c)(3) organizations that are private, operating foundations. In exceptional cases, the Fund will consider fiscal agent and expenditure responsibility grants.

**You should know before applying**

— Contact the Fund in writing or by telephone with a summary request and budget information and staff can help you decide whether it is worth your time and expense to proceed with a full proposal.

— Many excellent proposals cannot be funded because there are absolute limits to the number of proposals the Fund can study thoroughly and support.

— The Fund requests a statement describing the multicultural impact that your project or organization may have.

— If your organization receives a grant, you will be asked to sign a grant agreement form requiring written reports (usually at six-month intervals) on use of the grant in relation to the original proposal objectives and program results. A selected number of grantees may be asked to participate in a post-grant evaluation to study program accomplishments in more depth.
To Apply For A Grant

First, please read the Fund’s guidelines, procedures and timetable.

Second, contact the Fund with a two-page summary request and budget or a phone call to determine if it is worth your time and expense to proceed with a full proposal.

Third, if the Fund requests a full proposal, please include all the information requested:

☐ **Cover letter** including a request for funding.

☐ **Summary** (1-2 pages) of what you propose and what you expect to accomplish, if not included in the letter.

☐ **Proposal** in any format but emphasizing what specifically you hope to accomplish in the proposal period (what purpose and results in what time frame) and your strategy (how you plan to reach your objectives). The Fund especially welcomes workplans. Include also a brief description of the organization’s origin and purpose, the need your proposal addresses, the multicultural impact your project or organization may have and a summary of current, actual activities and staffing. **The proposal itself should not exceed 10 pages.**

In addition, please attach the following:

☐ Copy of IRS exempt-status determination letter.

☐ List of board members with addresses and phone numbers.

☐ Financial statement (audited, if available) for the last fiscal year. Income and expense budgets for current fiscal year. Include dates for your fiscal year.

☐ Income and expense budgets for year for which you seek support, if different from your current fiscal year. Include dates.

☐ Send both overall organization budgets and project budget if you seek support for a specific project.

☐ Listing of actual commitments toward your projected budget.

☐ List of other sources being asked for support and their responses to date.

☐ Form 990 IRS return if annual budget exceeds $500,000.

☐ **Proposal Cover Sheet** supplied by the Fund.

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**Timetable**

<table>
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<th>Submission dates:</th>
<th>Board meeting:</th>
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<tr>
<td>March 1 - April 15</td>
<td>June</td>
</tr>
<tr>
<td>June 1 - July 15</td>
<td>September</td>
</tr>
<tr>
<td>September 1 - October 15</td>
<td>December</td>
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</tbody>
</table>

Proposals that arrive well before the deadline have a better chance for careful review.

Proposals not clearly within the Fund’s priority areas but not clearly ineligible are screened by the Fund’s board members. If at least one board member seeks board meeting discussion of the proposal, it can be considered for funding.

Applicants should direct inquiries to:

**Pam Baker**  
Woods Charitable Fund, Inc.  
P.O. Box 81309  
Lincoln, NE 68501  
(402) 474-0707
Grants During 1993

Grants are unrestricted for general support and for Lincoln-based organizations, unless otherwise described.

**CHILDREN, YOUTH AND FAMILIES**

<table>
<thead>
<tr>
<th>Organization</th>
<th>Description</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Catholic Social Services</td>
<td>Renewal for outreach/counseling program in the Hispanic community to assess and address issues related to substance abuse, domestic violence and emotional stress; in collaboration with the Hispanic Community Center</td>
<td>$20,000</td>
</tr>
<tr>
<td>Community Human Services Planning Council</td>
<td>Fiscal Agent: United Way of Lincoln and Lancaster County</td>
<td>14,756</td>
</tr>
<tr>
<td></td>
<td>Third payment of three-year $60,976 grant to operate the Community Human Services Planning Council as it develops a human services planning process in Lincoln</td>
<td></td>
</tr>
<tr>
<td>Consortium for Children and Youth</td>
<td>For organization that advocates for and works toward coordinated and unified community solutions to issues affecting children and youth</td>
<td>7,500</td>
</tr>
<tr>
<td>Family Service Association of Lincoln</td>
<td>To provide low-income fee subsidy for the new public/private downtown Lincoln infant/toddler day care center</td>
<td>20,000</td>
</tr>
<tr>
<td>Friendship Home of Lincoln</td>
<td>Renewal support to maintain the Children in Shelter project for women and children victims of domestic violence and sexual assault</td>
<td>20,000</td>
</tr>
<tr>
<td>Lincoln and Lancaster County Child Guidance Center</td>
<td>To hire a part-time emergency services director and related mental health consultants to develop emergency psychiatric services for children and youth in Lincoln</td>
<td>20,000</td>
</tr>
<tr>
<td>Lincoln Lancaster County Family Resource Center Board</td>
<td>For general operations at both of Lincoln’s family resource center sites and for site preparation and building the Northwest Family Resource Center</td>
<td>40,000</td>
</tr>
<tr>
<td>Lincoln Public Schools Foundation</td>
<td>For first year staffing and equipment purchase for the Student-Child Learning Center at Lincoln High School</td>
<td>15,000</td>
</tr>
<tr>
<td>Lincoln Y.W.C.A.</td>
<td>Third year support for the teen pregnancy prevention program in collaboration with Planned Parenthood of Lincoln</td>
<td>32,500</td>
</tr>
</tbody>
</table>
Malone Community Center
For the Family Enrichment and Development Outreach Coordinator position working in the area of gang and drug prevention $ 20,000

Nebraska Association for the Advancement of Child Care Services
Fiscal Agent: Public Health Foundation of Lancaster County
To employ a child care specialist to work with day care home providers and extend child care certification to them 13,500

Nebraska State Association for Retarded Children, Inc.
For this statewide organization providing information, training, family support and advocacy for those with developmental disabilities 3,000

People First of Nebraska, Inc.
Fiscal Agent: Association for Retarded Citizens-Capital
For this statewide self-advocacy organization serving people with disabilities 10,000

For technical assistance in fund development 1,500

People's City Mission
To support a portion of the bilingual men's shelter supervisor position at this shelter for homeless people 16,000

Rape Spouse Abuse Crisis Center, Inc.
To implement a legal services project for victims of domestic violence and their children 23,000

Samaritan Counseling Center Corporation
Toward development of a comprehensive program for step-families including an education component, professionally facilitated help groups and child/family therapy 10,000

St. Monica's Home
To expand Outpatient Counseling program services for chemically dependent women 10,000

Transitional Life Center Inc.
Renewal funding toward the director's position in transitional home where women who have been incarcerated can strengthen skills for productive, crime free lives 13,200

Voices for Children in Nebraska
Renewal support for salary and related expenses of a deputy director and to produce "Child's View," to coordinate volunteers and prepare public information 32,000
Y.M.C.A. of Lincoln
Second year support for Big Brothers/Big Sisters activities for kids while they are waiting to be matched with an adult volunteer $ 9,000

Youth Service System of Lincoln & Lancaster County
Renewal support for the Teaching and Learning with Children Outreach program to assist teenage mothers, their children, partners and family members 20,000

Total Children, Youth and Families $ 370,956

EDUCATION

College of Saint Mary
To establish an academic advising/counseling program to serve Lincoln area students and prospective students 15,000

Lincoln Children’s Museum
Second year funding to expand two staff positions to support the Family Education and Recreation program serving low- to moderate-income families from multicultural backgrounds 10,000

Nebraska Center for Excellence in Education
To create an in-state technical assistance pool to assist schools in the school transformation process 4,000

University of Nebraska
Fiscal Agent: University of Nebraska Foundation
For Department of Educational Administration project to determine the knowledge, skill and attitudes of Nebraska school leaders regarding intercultural education and to identify needs in this area 6,900

To purchase equipment, books and materials for a UNL Teachers College program, “Exploration and Enrichment in Literacy,” a literacy program for disadvantaged elementary students 7,000

Total Education $ 42,900
COMMUNITY DEVELOPMENT AND HOUSING

Center for Community Change
For technical assistance expense for a coalition of Lincoln organizations formulating community reinvestment plans/proposals for local financial institutions $1,000

City of Lincoln
Renewal support for City Summer Youth Employment program targeting at-risk, disadvantaged, low-income and minority youth ages 14-21 10,000

Fresh Start, Inc.
Renewal funding for housing and educating homeless single women as they prepare to reenter the community 10,000
For technical assistance in the area of long and short term fund development planning 1,500

Lincoln Community Development Partnership
Matching grant to encourage and assist development of affordable housing in Lincoln 10,000

Small Business Resource Center
Fiscal Agent: Indian Center, Inc.
Renewal support for business incubator serving women, ethnic minorities and low- to moderate-income individuals and to develop a revolving loan fund for clients 30,000

Total Community Development and Housing $62,500

ARTS AND HUMANITIES

Friends of Mary Riepma Ross Film Theatre Inc.
Partial sponsorship for the “Great Plains Film Festival,” a regional showcase for independent film and video artists in the heartland of the U.S. and Canada 3,000

Lincoln Arts Council
To fund the salary and expenses for a project director for LAC’s Multi-Cultural Arts Program 16,500

Nebrask Department of Education
First payment of a $60,000, three-year grant for Education for Equity Through ARTnet, a project of the Prairie Visions Consortium enabling Nebraska elementary and secondary teachers and art specialists to develop and use art education curricula promoting equity and multiculturalism 20,000
Nebraska Humanities Council
For the 1994 publication of “Nebraska Humanities,” celebrating the literature, poetry, and art work of diverse peoples to encourage civic discourse and prepare Nebraska communities to support educational change $ 25,000

University of Nebraska
For staff at the Nebraska Repertory Theatre to develop the pilot New Plays Festival program, a forum for play readings and a fully mounted production of original dramatic material dealing with multicultural themes 2,000

Center for Great Plains Studies of the University of Nebraska
For Great Plains Art Collection’s exhibition, presentation, and catalogue of work by American Indian artist Laurie Houseman-Whitehawk 1,500

University of Nebraska
Fiscal Agent: University of Nebraska Foundation
Eighth payment of ten-year $1,000,000 grant for land acquisition, building construction and landscaping costs for the Lied Center for Performing Arts 100,000

University Place Art Center
To support the director’s salary and minority consultants for the Center’s education program 10,550

The Wagon Train Project
For general operations and outreach by a new performing arts organization targeting nontraditional audiences and innovative community/artist interactions 10,000

Total Arts and Humanities $ 188,550

GENERAL

Center for National Independence in Politics
For Project Vote Smart’s inclusion program in Lincoln to educate and empower those least likely to participate in the democratic process 10,500

Lancaster County
For the Lincoln Lancaster County Justice Council’s “There’s No Excuse For Domestic Abuse” public education campaign 4,000
Legal Aid Society of Omaha, Incorporated
To develop the Educational Support Group of Low-Income Nebraskans project empowering low-income individuals to represent and educate themselves on low-income and public assistance issues $ 5,000

Lincoln Council on Alcoholism and Drugs Inc.
To develop a comprehensive, prevention-based parenting program called “HOMEBASE: Prevention Skills for Parents” to teach parenting skills demonstrated to prevent substance abuse by youth 14,320

Lincoln Interfaith Council
Renewal support for Communities of Hope and its church-based work with substance abuse issues 12,500

Lincoln Lancaster Mediation Center
Support of the Center’s cross-cultural training and recruitment and public education projects to expand and diversify mediation offerings in Lincoln 10,000

NAP, Inc.
Renewal support for the Lincoln caseworker position to assure continuation and expansion of the Nebraska AIDS Project’s Lincoln program serving those affected by HIV/AIDS 20,000

Nebraska Urban Indian Health Coalition Inc.
For ongoing activities related to developing primary health care in Lincoln for low-income people 12,000

Sunrise Communications, Inc.
Third year support for grassroots, community-based radio station KZUM 5,000

Total General $ 93,320

Total Lincoln Grants Paid $ 758,226
In metropolitan Chicago the Fund supports a wide range of nonprofit activities that include issue analysis, public policy development, advocacy, and citizen participation in efforts to improve the functioning of the city and its neighborhoods.

Two funding priorities are Community Organizing and Public Policies Affecting Families, a program currently focused on welfare-to-work policies.

Two other “Special Interests” are Chicago school reform and government accountability. Woods’ grant making is not limited to these specific issues. A listing of recent grants will suggest the range of support and the funding patterns of the foundation. We welcome comments on this grant making approach.

“The Neighborhood Works,” a magazine with news of community-based organizing, economic development, housing, jobs, energy and the environment, is published by Center for Neighborhood Technology. Pictured are editor Patti Wolter and associate editor Carl Vogel with their special 15th anniversary issue.

Board members of Chicago ACORN accept the 1993 Community Organizing Award presented jointly by Woods, Wieboldt, and J.D. and C.T. MacArthur foundations to celebrate effectiveness in community organizing.

At Project Match, a nationally noted demonstration project on the welfare-to-work transition, Chicagoans shared ideas and lunch with members of the Clinton administration’s Working Group on Welfare Reform. The Group was in Chicago to hold hearings before proposing welfare reform legislation. Numerous nonprofits supported by Woods provided expert testimony.
Community Organizing

Community organizing enables democracy at the grassroots level. It is a process to bring together into an influential group people who, individually, may lack the power to improve their opportunities and their communities. Organized into an effective community organization, they have an arena for articulating their concerns and their goals; for proposing ways to improve the community; for holding accountable public and private institutions that may be the source of problems, of solutions or both; and for launching community improvement projects. Organizing can provide an effective antidote to the loss of hope, of community and of community institutions in disadvantaged neighborhoods.

Community organizing works largely through volunteers, assisted by professional or volunteer community organizers. Woods' priority is supporting community organizing that develops independent, community-controlled organizations that elect their own leaders and determine their own issues and approaches — as opposed to community outreach efforts to recruit participants into a planned initiative.

The Fund welcomes proposals from new and emerging as well as well-established community organizations. Please request special community organizing guidelines before submitting a proposal.

We ask applicants to address whether they have or are planning for:
- an active board reflecting the community and accountable to it;
- a growing, definable, inclusive membership;
- a formal program to develop the leadership capacity of its volunteer members and staff;
- measurable goals and projected outcomes to increase opportunities for lower-income people;
- a cooperative relationship with other organizations across gender, race and income lines;
- an ability to raise and manage funds to carry out programs, including raising significant local and membership funds.

Public Policies Affecting Families

This program focuses on public policies affecting the employment possibilities for Illinois' poorest residents: welfare-to-work policies. While there is a perception that welfare programs are shifting from "maintenance" to promoting self-sufficiency, in Illinois just over 1% of the Public Aid budget is spent on welfare-to-work programs. (Most is paid to health care providers.) Studies clearly show that effective employment programs, particularly for lower skilled people, require an investment. But these studies conclusively show that this investment pays back to the public in reducing welfare costs and generating tax revenue.

Well-crafted and flexible welfare-to-work programs can enable and encourage self-sufficiency, particularly if they have realistic goals in an era of high unemployment. Because there are more children than adults on welfare, policies must also be judged by their impact on the whole family, for example, by the availability of quality child care and continuing health coverage.
Many public programs have an impact on Illinois citizens moving from welfare to work: the national Family Support Act, Illinois’ Project Chance, the Jobs Training Partnership Act administered by the Mayor’s Office of Employment and Training, Transitional Assistance (formerly General Assistance), and City Colleges where thousands of welfare participants seek literacy and skills training.

In recent years Woods grants have supported a range of welfare-to-work activities of nonprofit groups such as policy analysis, research, demonstration projects, public education, coalition building and advocacy. We believe the best intended policies and regulations can fail if they are not informed by actual experience at the community level. Woods therefore encourages policy-oriented proposals from community based as well as larger organizations, from recipient groups, local job creation and economic development practitioners, and from people recommending both policy improvements and strategies for getting them implemented.

More detailed program guidelines are available from the foundation.

**Special Interests**

**Public School Reform** A single area of deep, shared concern for Chicagoans is the public school system. The future of our city depends upon it but the system has failed its students to an astonishing degree. Recent school reforms offer an opportunity to revitalize and to refocus on classroom learning. The Fund hopes to support organizations working to make school reform a reality.

**Government Accountability** Many major city governments are severely challenged by the size, complexity and cost of responsibilities facing them and by decreases in outside revenues. Chicago faces these problems weighed down by its own governance traditions. Civic and community groups can build more responsive and accountable relationships between citizens and public institutions intended to serve them. They can help government identify problems, opportunities and effective approaches to bring about change.

**Funding Limitations and Restrictions**

Geographically, grants are limited to organizations in metropolitan Chicago. Occasionally the Fund reviews proposals from outside the city if the proposed activities have statewide impact or are designed for wide application.

While the Fund makes grants in very diverse fields, the following areas are not eligible for grant review:

- Fundraising benefits or program advertising
- Individual needs
- Endowments
- Scholarships or Fellowships
- Residential care, counseling programs, clinics and recreation programs
- Social services, except special projects with a clear public policy strategy or projects expressly planned for wide duplication
- Health care institutions
- Medical and scientific research
- Religious programs
— Housing construction or rehabilitation
— Business or economic development practitioners.

Arts proposals are reviewed only at the Fund's June board meeting. The Fund makes multi-year grants to mid-sized performing arts groups and because other fields are priorities, the Fund makes very few grants. Please request separate arts guidelines and application forms.

College and university proposals are reviewed only if they directly involve faculty and/or students in applied projects of benefit and concern to the region.

Capital campaigns and capital projects (buildings and equipment acquisition, expansion and rehabilitation) are low priorities for the Fund. They will be considered only at the final (December) board meeting each year in relation to each other and to the greater priorities of the grant program. The Fund's board approved no capital requests in Chicago in recent years.

Applicants generally should be organizations described in Section 501(c)(3) of the Internal Revenue Code and have a written ruling from the IRS that they also are an organization described under section 509(a)(1), (2) or (3) of the Code (publicly supported organizations and their affiliates). Occasionally the Fund considers proposals from 501(c)(3) organizations that are private, operating foundations. In exceptional cases, the Fund will consider fiscal agent and expenditure responsibility grants.

You should know before applying
— Staff welcomes opportunities to meet with applicants after having read your proposal.
— Because there are absolute limits to the number of proposals the Fund can study thoroughly and support, many excellent proposals necessarily cannot be funded.
— Grantees are asked to sign a grant agreement form requiring written reports (usually at six-month intervals) on use of the grants in relation to the original proposal objectives and on the results of the programs.
— A selected number of grantees are asked to participate in a post-grant evaluation to study in more depth program accomplishments compared to proposed objectives.
First, please read the Fund’s guidelines, procedures and timetable.

Second, contact the Fund with a two-page summary request and budget or a phone call to determine if it is worth your time and expense to proceed with a full proposal.

Third, if the Fund requests a full proposal, please include all the information requested below, using this checklist.

☐ Cover letter including a funding request.

☐ Summary (1-2 pages) of what you propose and what you expect to accomplish, if not included in the letter. This is the key part of your proposal that Woods trustees review.

☐ Proposal in any format but emphasizing what specifically you hope to accomplish in the proposal period (what purpose and results in what time frame) and your strategy (how you plan to reach your objectives). The Fund especially welcomes workplans. Include also a brief description of the organization, the need addressed and a summary of current activities and staffing. The proposal itself should not exceed 10 pages.

☐ Copy of IRS exempt-status determination letter.

☐ List of board members with addresses and phone numbers.

☐ Financial statement (audited, if available) for the last fiscal year.

☐ Income and expense budgets for current fiscal year. Include dates for your fiscal year.

☐ Income and expense budgets for year for which you seek support, if different from your current fiscal year. Include dates.

☐ Send both overall organization budgets and project budget if you seek support for a specific project.

☐ List of actual commitments toward your projected budget.

☐ List of other sources being asked for support and their responses to date.

☐ Form 990 IRS return if annual budget exceeds $500,000.

Timetable

Submission dates: March 1 - April 15

Board meeting: June (includes all arts proposals)

June 1 - July 15

September 1 - October 15

Proposals that arrive well before the deadline have a better chance for careful review.

Proposals not clearly within the Fund’s priority areas but not clearly ineligible are screened by the Fund’s local board members. If at least one board member seeks further review of the proposal, it can be considered for funding.

Illinois applicants should direct inquiries to:

Jean Rudd, Ken Rolling or Kaye Wilson Woods Fund of Chicago
Three First National Plaza
70 W. Madison St.
Suite 2010
Chicago, IL 60602-4260
(312) 782-2698
Grants during 1993

Grants are unrestricted for general support and for Chicago-based organizations unless otherwise described.

**COMMUNITY ORGANIZING AND COMMUNITY PROGRAMS**

<table>
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<tr>
<th>Paid in 1993</th>
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**Participation and Leadership in Communities**

**Chicago ACORN**
**Fiscal Agent: Arkansas Institute for Social Justice, Inc.**
A two-year $60,000 renewal grant for a community organizing effort focused on housing and home insurance issues in two of Chicago’s south and west side low-income neighborhoods

$30,000

**Comite Latino**
For a multi-issue community organizing effort by North, South, and Central American Latinos on Chicago’s north side

10,000

**Community Organizing and Family Issues Project**
**Fiscal Agent: Taylor Institute**
To develop a new program attempting to bring more family issues onto the agendas of community organizing groups

7,500

**Community Organizing Award**
**Fiscal Agent: Wieboldt Foundation**
Toward the $20,000 1993 Community Organizing Award given jointly by Woods, Wieboldt Foundation, and John D. & Catherine T. MacArthur Foundation and toward costs of coordinating, hosting, and publicizing the award

15,000

**Developing Communities Project**
Renewal support for a church-based community organizing effort on Chicago’s far south side

10,000

**Evanston Neighborhood Conference**
For community organizing on issues of fairness in the suburb of Evanston

5,000

**Gamaliel Foundation**
Final payment of 1992 grant for a training initiative for community organizers and volunteer leaders

10,000

Restricted to expanded organizing in Chicago and for consultation and training in the greater metropolitan area

45,000

**Gary Organizing Project**
**Fiscal Agent: Interfaith Citizens Organization**
Toward salary of an organizer for the new Gary Organizing Project formed by church leadership in Gary, IN

10,000
Greater Grand Crossing Organizing Committee
Final payment of $10,000 grant for a church-based, south side community organizing project $ 5,000

Hermosa Community Organization
Technical assistance grant for training on organizing for group on Chicago’s northwest side working against crime and gang issues 800

Interfaith Citizens Organization of Hammond
Final payment of a two-year $35,000 grant for a growing church-based community organizing effort in Hammond, IN 17,500

Interfaith Community Organization of Pilsen/Heart of Chicago
Fiscal Agent: St. Procopius Orthodox Catholic Church
To organize participation in community problem solving on issues such as violence and health out of a base of churches in this largely Mexican-American neighborhood 12,500

Interfaith Organizing Project of Greater Chicago
Second payment of two-year grant for organizing on Chicago’s near west side to assure resident input in redevelopment of the area 10,000

Joliet Area Church-Based Organized Body (JACOB)
Second payment of a two-year $40,000 grant for a developing community organizing effort in Joliet, IL 20,000

Lakeview Tenants Organization
Renewal support for a multi-issue community organizing effort on Chicago’s north side 7,500

Logan Square Neighborhood Assoc. Inc.
Renewal support and technical assistance funds for a multi-issue community organizing effort in an ethnically diverse northwest side neighborhood 20,000

Near Northwest Neighborhood Network
Toward organizing in the Bucktown area on issues of community safety, education, youth and recreation, seniors, economic development, and affordable housing 12,000

Northwest Austin Council
Final payment of 1992 grant for a community organizing effort focused on drug houses and crime prevention on Chicago’s far west side 5,000
Renewal support 12,500
**Northwest Neighborhood Federation**  
Renewal support for a largely white ethnic community organizing effort on the far northwest side of Chicago, focusing on gang issues  
$15,000

**Organization of the NorthEast**  
Second payment of two-year $60,000 grant for this multi-ethnic community organizing effort in Chicago’s Uptown and Edgewater neighborhoods  
$30,000

**Pilsen Neighbors Community Council**  
Final payment of $20,000 grant for a community organizing effort in a largely Mexican-American community  
$10,000

**Public Allies: The National Center for Careers in Public Life**  
Payment toward a $20,000 grant restricted to the Chicago Project of a national program recruiting and placing young people for careers in community organizations and government agencies  
$10,000

**Rogers Park Community Action Network**  
(formerly Rogers Park Tenants Committee)  
To continue organizing for local school improvement, affordable housing, tenants rights, youth empowerment and senior citizens  
$5,000

**South Suburban Action Conference**  
Renewal support for a broad based community organizing effort with strong church leadership in the southern suburbs of Chicago, focused on low-income housing and crime issues  
$30,000

**United Citizens Organization of East Chicago, IN**  
Renewal support for a community organizing effort in East Chicago, IN focused on education and crime  
$17,500

**Voice of the People in Uptown Inc.**  
Renewal grant restricted to community organizing efforts of this developer of affordable housing on Chicago’s north side  
$15,000

| Subtotal | $397,800 |

**Other Community Programs**

**Center for Neighborhood Technology**  
Restricted to special 15th anniversary edition of “The Neighborhood Works,” a publication on community issues and community development with an emphasis on ecological concerns  
$12,000
<table>
<thead>
<tr>
<th>Organization</th>
<th>Description</th>
<th>Amount</th>
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<tbody>
<tr>
<td>Center for Popular Economics</td>
<td>For a training program, cosponsored with the University of Illinois at Chicago Center for Urban Economic Development, to help Chicago grassroots activists incorporate analysis of local, national, and international economics into their strategies for social change</td>
<td>$3,000</td>
</tr>
<tr>
<td>Centers for New Horizons Inc.</td>
<td>Third payment of three-year $130,000 support for management restructuring in multipurpose agency working in Chicago’s poorest south side communities</td>
<td>$40,000</td>
</tr>
<tr>
<td>Community Media Workshop</td>
<td>First payment of a two-year $25,000 grant for group educating community and advocacy organizations to further their organizing goals through better access to and use of the media</td>
<td>$12,500</td>
</tr>
<tr>
<td>Center for Community Change, Fiscal Agent</td>
<td>For study by Chicago organizer Ed Shurna of “The Spirituality of Leaders in Struggle,” a research and education project exploring the role of spirituality as a motivator for people organizing for justice; project will also develop training materials</td>
<td>$17,500</td>
</tr>
<tr>
<td>Edgewater Community Council, Inc.</td>
<td>For leadership and staff training and other costs associated with organizing for effective community-oriented policing in the Edgewater area</td>
<td>$7,500</td>
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<tr>
<td>Neighborhood Funders Group</td>
<td>First time grant for Council on Foundations affinity group of funders interested in neighborhood issues ranging from health and safety to affordable housing and transportation</td>
<td>$5,000</td>
</tr>
<tr>
<td>University of Illinois at Chicago: Department of Psychology</td>
<td>For the Development of African-American Community Leaders Project, using community residents to conduct interviews to determine key elements in leadership development in an African-American neighborhood on Chicago’s far south side</td>
<td>$15,000</td>
</tr>
</tbody>
</table>
WBEZ Alliance Inc.
To underwrite a new “community issues” reporter/producer at this public radio station to provide broader and deeper coverage of neighborhood perspectives and also of the contributions by community groups to issue identification, analysis and problem solving $ 35,000

Subtotal $ 147,500

Total Community Organizing and Community Programs $ 545,300

PUBLIC POLICIES AFFECTING FAMILIES

Chicago Area Project
First payment of possible $40,000 grant for the policy director and related costs at Women for Economic Security, a welfare recipient-based group developing community leaders to provide analysis and recommendations for better welfare-to-work policies 10,000

Chicago Commons Association
First payment of an $80,000 two-year renewal grant, restricted for the public policy director for the Employment Training Center, a model welfare-to-work program 40,000

For West Humboldt Employment and Training Center to work with public relations consultants to publicize lessons learned from their own project and from several funded programs in the Public Policies Affecting Families program 15,000

Travelers & Immigrants Aid of Chicago

Chicago Institute on Urban Poverty
Second payment of 1992 grant restricted to the Chicago Institute on Urban Poverty’s Employment Policy Initiative assessing the needs of poor, hard-to-reach, single men in Chicago 12,500

 Renewal support for the Employment Policy Initiative, a policy and advocacy program focused on welfare-to-work strategies for unemployed single adult males 25,000

Chicago Jobs Council
First payment of $30,000 grant for a new coordinator for Welfare-to-Work Working Group, a task force of agency representatives concerned with employment policy for welfare recipients 15,000
Day Care Action Council of Illinois
Toward the salary of a welfare-to-work advocacy associate concerned with how child care and day care policies affect welfare recipients’ transition to work $ 35,000

Educational Broadcasting Corp. of N.Y., N.Y.
Toward “Making Welfare Work,” a TV documentary on how states’ experiments with welfare reform are affecting families on welfare and how effectively they are meeting their goals of replacing welfare with work 20,000

Fifth City Chicago Reformulation Corporation
Payment of a grant restricted to a collaborative project of Fifth City Chicago, The Inner Voice, and the Westside Survival Initiative to engage in advocacy and policy work on issues of the State’s Transitional Assistance income program for single, childless adults 9,000

Illinois Caucus for Adolescent Health
(formerly Illinois Caucus on Teenage Pregnancy)
Toward the Caucus’ work on improving policies to help young parents successfully transition from welfare to work 15,000

Illinois Issues
Fiscal Agent: Sangamon State University
For articles in the magazine “Illinois Issues,” based on research among welfare recipients and community leaders in three diverse locales, to assess the successes and problems of the state’s welfare-to-work programs 15,000

Legal Assistance Foundation of Chicago
For welfare-to-work attorney to attend conference on “New Opportunities for Legal Services Advocacy on Jobs Creation, Employment, Education, and Training Issues” 700
First payment of two-year $100,000 renewal grant restricted to salary and related costs of a staff attorney specializing in welfare-to-work issues and advocacy 50,000

Project Match
Fiscal Agent: Erikson Institute
First payment of a two-year $80,000 grant for a collaboration between Project Match, Illinois Department of Public Aid, and Center for Law and Social Policy to affect welfare reform policy and practices based on lessons learned at Project Match, a community-based program to assist adults on welfare to achieve economic independence 40,000
For lunches for participants in the federal hearings on welfare reform held in Chicago 300
Public Welfare Coalition
Renewal grant totaling $35,000 to continue policy advocacy and public education on Illinois welfare issues and to expand a FAX briefing network on welfare reform policy developments at the state and federal levels $ 25,000

To participate in “Welfare Reform and the Forgotten Population” conference regarding adult males in Washington, DC 500

Voices for Illinois Children
For a capacity building project on state finances to increase understanding among the advocacy community, civic organizations, and the public on state finance and budget issues that impact children and poor families 25,000

Women Employed Institute
For a policy associate focused on advocacy for improved workforce development and welfare-to-work policies and programs for low-income women and girls 25,000

Women’s Self-Employment Project Inc.
For the director of policy to work half-time seeking impact on welfare-to-work policies, especially using microenterprises as a tool to escape welfare poverty 30,000

Total Public Policies Affecting Families $ 408,000

Community and Civic Issues

Public Policy and Planning

Center for Law and Human Services, Inc.
For a model project and report using volunteers to offer tax counseling and to file tax returns for homeless people, many of whom could receive tax benefits such as earned income credits and refunds 7,000

Chicago Alliance for Neighborhood Safety
Second payment of two-year $40,000 grant restricted to monitoring the implementation of community policing in Chicago’s neighborhoods 20,000

Chicago Initiative

Fiscal Agent: The Chicago Community Trust
For costs of developing program models and structures of “The Chicago Laboratory for Change,” a proposed federal demonstration project intended to plan locally and reduce bureaucracy in federally generated funding for job training and welfare-to-work 15,000
CPAs for the Public Interest
For analysis of past and current budgets of the Cook County Juvenile Detention Center and for recommendations in preparation for a major proposal to support reform of the Center $ 7,000

Friends of the Parks
Support for Partners in the Parks Program of a citywide organization advocating for better parks throughout Chicago 10,000

Illinois Hunger Coalition
For startup of a Chicago office of this statewide organization and for their Hunger Hurts Campaign, organizing support for expanded local participation in federal child nutrition programs such as school breakfasts and children’s summer food services 20,000

Lead Elimination Action Drive (LEAD)
Fiscal Agent: Rogers Park Tenants Committee
Toward the School Lead Action Project organizing parents and local school officials to monitor potential lead hazards to children’s health in public schools and to encourage testing and lead abatement 10,000

Neighborhood Capital Budget Group
First payment of two-year $30,000 grant to group working for more equitable and more strategic spending of the City’s infrastructure dollars, including for public transportation, to enable economic development in the neighborhoods 15,000

Subtotal $ 104,000

Housing, Jobs and Economic Development

Chicago Association of Neighborhood Development Organizations (CANDO)
For a research and development project gathering information on innovative community economic development initiatives around the country 10,000

Chicago Coalition for the Homeless
Renewal for the Women’s Empowerment Project, bringing the voices of women in homeless shelters into advocacy to prevent homelessness 30,000
Support for professional development of staff and board 5,000
Chicago Rehabilitation Network
For the Chicago Affordable Housing and Community Jobs Campaign, a citywide effort to build support for low-income, affordable housing in Chicago $25,000

Maxwell Street Market Coalition
Fiscal Agent: Eighteenth Street Development Corporation
Toward costs of hiring staff to organize vendors in the historic Maxwell Street market area and to develop a business and management plan for operations in the current or any alternate location 3,000

Homeless on the Move for Equality (H.O.M.E.)
Fiscal Agent: Chicago Coalition for the Homeless
For an organization of homeless people lending their voices to influence public policies affecting their lives 10,000

Housing Resource Center
Fiscal Agent: Hull House Association
For the Housing Resource Center to hire a community organizer to help tenants of scattered-site public housing participate more fully in their communities 15,000

Illinois Coalition to End Homelessness
Toward the salary of an executive director of a statewide advocacy organization focused on public policy changes affecting homeless youth and their families 15,000

Lakefront Single Room Occupancy Corporation
Second payment of a two-year $25,000 grant to support a Single Room Occupancy advocacy program to preserve existing SRO’s and increase low-income housing programs in Chicago 10,000

Midwest Academy Inc.
For the Academy’s consultation with Chicago Rehab Network and its member organizations to expand their organizing and policy impact on issues of affordable housing 10,000

Midwest Center for Labor Research
Renewal support for the Chicago Project, an effort focused primarily on job creation/remediation on Chicago’s west side 30,000

Oak Park Regional Housing Center
First payment of potential two-year, $20,000 grant toward costs of hiring a counselor/escort staff person to expand opportunities for people of color to find housing near employment in the western suburbs 10,000
Woodstock Institute
For research project surveying the record of community reinvestment in Chicago neighborhoods over the last 20 years $25,000

Subtotal $198,000

Justice, Governance and Equal Opportunity

Chicago Lawyers Committee for Civil Rights, Inc.
For the Children's Advocacy Project seeking a better functioning Juvenile Court system, more rehabilitative services for youth charged with delinquency, and fewer inappropriate transfers of children to adult court $20,000

Chicago Women in Trades
For "Worksite 2000," an initiative at publicly-funded building projects engaging public agencies, construction companies and unions in demonstrating model workplace policies so more women can attain and remain in construction jobs $20,000

Korean American Community Services
Toward the salary of a new staff member for the Community Mediation Project working to improve relationships between Korean merchants and residents in African-American communities $15,000

Life Span
For scholarships for participation from various sectors in a conference on "Violence and Abuse in the Family: A Coordinated Response" $2,500

NALEO Educational Fund
Toward costs of the Chicago office and its programs to enable legal residents and Amnesty applicants to become citizens and to train community groups to conduct naturalization projects $10,000

Native American Educational Services (NAES College)
Final payment of two-year $31,000 grant for Chicago campus costs of the American Indian Children and Family Law Project, to address how urban and tribal courts treat families at risk of losing custody of their children $15,500

Northern Illinois University: Office for Social Policy Research
To study 1980-1990 gaps in earnings between women and men in the metropolitan area, broken out by profession, race, education, age, and other factors, and to conduct a public education and advocacy effort with Women Employed Institute $15,000
Prison Action Committee
For a new organization led by prisoners and ex-offenders in Illinois seeking improved conditions and more effective prison policies $ 15,000

Roger Baldwin Foundation of the A.C.L.U., Inc.
Final payment of two-year $35,000 renewal grant for the “Children’s Initiative” monitoring implementation of the court ordered consent decree to reform Illinois Department of Children and Family Services’ handling of children in their care 15,000

Subtotal $ 128,000

Total Community and Civic Issues $ 430,000

EDUCATION

Pre-Collegiate Education

Business & Professional People for the Public Interest
Restricted to facilitating the development of “small schools” — more flexible, manageable units — within current public school facilities, as a means to education reform 15,000

Chicago Association of Local School Councils
Fiscal Agent: Latino Institute
Renewal support to organize an association of the Local School Councils that were established in each school to manage school reform 15,000

Chicago Neighborhood Organizing Project
Renewal for organization training leaders and organizing for public school reform on Chicago’s far northwest side 20,000

Chicago Panel on Public School Finances
A two-year $100,000 renewal grant to complete a six year project, “Monitoring and Researching the Effects of School Reform in Chicago,” a longitudinal study of the first five years of implementation of school reform legislation 50,000

Chinese American Service League Inc.
Payment for organizing consulting as part of $15,000 total grant for school reform activities 2,500
Cross City Campaign for Urban School Reform
Fiscal Agent: Leadership for Quality Education
Support for a new national effort to link up major urban school reform efforts including Chicago's, to share ideas and models and to impact state and federal education policies $ 20,000

Designs for Change
First payment of a three-year $150,000 grant to support SCHOOLWATCH, a program of parent organizing, advocacy, and technical assistance to Local School Councils, community groups, and parents implementing school reform 50,000

Fund for Educational Reform
Fiscal Agent: The Donors Forum of Chicago
Renewal support for a joint funding program of Chicago grantmakers to make grants for school reform planning and implementation at the local school level 10,000

Lawyers' School Reform Advisory Project
Fiscal Agent: Chicago Lawyers Committee for Civil Rights, Inc.
First payment of two-year $30,000 renewal grant for project that provides training and pro bono legal assistance for Local School Councils dealing with legal issues associated with school reform 15,000

Special Fund for 1993 Local School Council Elections
Fiscal Agent: Wieboldt Foundation
For a joint funding collaboration of foundations providing small organizing grants to community organizations seeking to increase participation in the biennial Local School Council elections 15,000

Parents United for Responsible Education (PURE)
Support for citywide organization providing training and technical assistance for Local School Councils in Chicago 10,000

Teachers' Task Force
Fiscal Agent: Roosevelt University
For the Task Force's efforts to support Chicago Public School teachers in implementing school reform, through its training programs, leadership development, publications, and curriculum assistance 15,000
Youth Guidance
Restricted to the Comer School Development Program, implementing in 14 west side Chicago public schools a comprehensive approach to school and family enrichment based on the work of Dr. James Comer $20,000

Total Education $257,500

ARTS AND HUMANITIES

Performing Arts

Baillieck Repertory
For theater company that makes the director the fulcrum of the artistic process 10,000

Chicago Children's Choir
For tuition-free music education and choral performance programs in Chicago schools and after school locations 10,000

Chicago Sinfonietta, Inc.
For mid-sized professional orchestra offering a classical, romantic, and contemporary repertoire with multicultural performers and audiences 10,000

Chicago String Ensemble
To underwrite the costs of a marketing and public relations consultant to expand audiences and increase earned revenues 7,500

Chicago Theatre Company
Toward costs of consulting for long-range planning and development in theater presenting plays on African-American themes 7,500

Civic Orchestra of Chicago

Parent Group: The Orchestral Association
Second payment of $30,000 three-year grant for orchestra of younger musicians 10,000

DuSable Museum of African American History Inc.
Toward salary of performing arts curator to develop programming in the Museum's new performing arts space 10,000

Illinois Arts Alliance Foundation
Toward costs of enhancing the Foundation's computer database to enable more effective communications with membership of arts organizations and with constituents, legislators, and others 6,500
Illinois Philharmonic Orchestra  
For orchestra serving the south suburbs of Chicago $10,000  

Muntu Dance Theatre  
Second payment of two-year grant for Africa-inspired dance company 10,000  

Music/Theatre Workshop  
Toward salary support for an education coordinator for the Under Pressure Series that develops students’ ideas into plays addressing social issues 5,000  

Performance Community  
Second payment of two-year $20,000 grant towards expenses of multitheater performance space and services used by various performing arts groups 10,000  

Performing Arts Chicago  
Second payment of $30,000 three-year grant for organization producing a range of arts performances 10,000  

Randolph Street Gallery, Inc.  
Second payment of three-year $30,000 grant for organization offering visual and performance art 10,000  

Textile Arts Centre  
Toward costs of paying performers from various cultures at the 1994 Folk and Tribal Arts Festival produced yearly by this gallery and education center 5,000  

| Subtotal | $131,500 |

Arts and Humanities  

Chicago Arts Partnerships in Education (CAPE)  
Fiscal Agent: Illinois Alliance for Arts Education  
For innovative collaboration of schools, community agencies, arts organizations and foundations seeking Chicago school reform by employing the arts as a central factor in enhanced teaching and learning 15,000  

Illinois Humanities Council Inc.  
Second payment of three-year grant towards the annual, multidisciplinary Chicago Humanities Festival 5,000  

| Subtotal | $20,000 |

Total Arts and Humanities  $151,500
### SPECIAL PURPOSE GRANTS

**Donors Forum of Chicago**  
Second payment of three-year $7,500 grant to support "Leadership for the '90's," an expansion of services of this regional donor membership organization, including developing a more public role in critical issues facing Chicago  
$ 2,500

**Jane Addams' Hull-House Museum of the University of Illinois at Chicago**  
A grant designated by and honoring Woods board member Lucia Woods Lindley, as she retires from the Woods' board, for the campaign to expand the Museum of the social welfare pioneer and leader, Jane Addams  
$ 25,000

**Mujeres Latinas en Accion, Inc.**  
For staff in a Latina-run agency to provide advocacy and community education to combat sexual assault and to assist its survivors  
$ 20,000

**Support Center of Chicago**  
First payment of a two-year $20,000 grant to underwrite outreach to community organizations in order to provide management assistance to smaller organizations in Chicago's neighborhoods  
$ 10,000

<table>
<thead>
<tr>
<th>Total Special Purpose Grants</th>
<th>$ 57,500</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Chicago Grants Paid</td>
<td>$1,849,800</td>
</tr>
</tbody>
</table>
Independent Auditors' Report

The Board of Trustees
Woods Charitable Fund, Inc.:

We have audited the accompanying statement of assets and fund balance (arising from cash transactions) of the Woods Charitable Fund, Inc. as of December 31, 1993, and the related statements of changes in income fund and changes in principal fund (arising from cash transactions) for the year then ended. These financial statements are the responsibility of the Fund's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

As described in note 1, these financial statements were prepared on the cash basis, which is a comprehensive basis of accounting other than generally accepted accounting principles.

In our opinion, the financial statements referred to above present fairly, in all material respects, the assets and fund balance (arising from cash transactions) of the Woods Charitable Fund, Inc. at December 31, 1993, and the changes in income and principal fund balances (arising from cash transactions) for the year then ended, on the basis of accounting described in note 1.

KPMG Peat Marwick

March 15, 1994
<table>
<thead>
<tr>
<th>Assets</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash (including savings accounts and money market accounts)</td>
<td>$ 3,133,688</td>
</tr>
<tr>
<td>Investment securities:</td>
<td></td>
</tr>
<tr>
<td>Mutual funds, at cost (market value $89,171)</td>
<td>87,540</td>
</tr>
<tr>
<td>Treasury notes and bills, at cost (market value $2,681,619)</td>
<td>2,602,240</td>
</tr>
<tr>
<td>Common and preferred stocks, at cost (market value $48,814,012)</td>
<td>30,909,634</td>
</tr>
<tr>
<td>Partnership interests:</td>
<td></td>
</tr>
<tr>
<td>The Golder, Thoma Fund (note 6)</td>
<td>170,158</td>
</tr>
<tr>
<td>Pfingsten Executive Fund, L.P. (note 7)</td>
<td>471,679</td>
</tr>
<tr>
<td></td>
<td>$37,374,939</td>
</tr>
</tbody>
</table>

| Fund Balance                                                         |       |
|                                                                      | $37,374,939 |

See accompanying notes to financial statements.
STATEMENT OF CHANGES IN INCOME FUND
(arising from cash transactions)  
Woods Charitable Fund, Inc.  Year Ended December 31, 1993

Income
Interest:
  U.S. Treasury notes and bills $353,754
  Checking accounts, interest bearing 5,130
  Total interest income 358,884
Dividends:
  Common and preferred stock 743,419
  Mutual funds 4,979
Partnerships:
  The Golder, Thoma Fund (note 6) (96,071)
  Pfingsten Executive Fund, L.P. (note 7) (12,866)
Money market funds 116,847
Miscellaneous income 3,401
  Total income 1,118,593

Deductions
Grants paid (note 9) 2,625,026
Expenses:
  Memberships and dues 9,507
  Legal, audit, tax and financial services 84,934
  Investment advisory fee 145,293
  Program-related consultation 7,002
  Rental and telephone expense 36,641
  Salary and related expenses 366,316
  Conferences and meetings 26,429
  Sundry expenses 31,850
Taxes:
  Foreign tax withheld at source 5,618
  1992 excise tax 542
  Estimated 1993 excise tax 62,500
  Total deductions 3,401,658
Income deficiency for the year ended December 31, 1993 $(2,283,065)

See accompanying notes to financial statements.

STATEMENT OF CHANGES IN PRINCIPAL FUND
(arising from cash transactions)
Woods Charitable Fund, Inc.  Year Ended December 31, 1993

Principal fund balance at December 31, 1992 $37,844,276
Subtractions to principal for year ended December 31, 1993:
  Deductions greater than income (2,283,065)
Additions to principal for year ended December 31, 1993:
  Gain on sale of common and preferred stocks 1,765,966
  Gain on sale of mutual fund shares 2,098
  Gain on sale or maturity of U.S. Treasury Notes 45,664
Principal fund balance at December 31, 1993 $37,374,939

See accompanying notes to financial statements.
NOTES TO FINANCIAL STATEMENTS
Woods Charitable Fund, Inc. December 31, 1993

(1) Significant Accounting Policy
The financial statements of the Woods Charitable Fund, Inc. (the Fund) are prepared on the basis of cash transactions. Accordingly, income is recognized when received and all expenses are recognized when paid. Under generally accepted accounting principles, income would be recognized when earned and all expenses would be recognized when incurred.

The fund uses the specific identification method for determining cost of securities sold.

(2) Historical Information
Woods Charitable Fund, Inc. was incorporated in the State of Nebraska on November 7, 1941, as a charitable corporation not for pecuniary gain. On September 15, 1961, the Articles of Incorporation were amended to qualify the Fund under the Nebraska Nonprofit Corporation Act of 1959.

(3) Market Value of Fund’s Assets
Market values at December 31, 1993 were determined as follows:

- Investments in United States Government securities at latest bid quotation.
- Common and preferred stocks at closing prices of national securities exchanges or latest bid quotation.

Including cash, the total market value of the Fund’s assets at December 31, 1993 is $55,437,161.

(4) Federal Excise Tax
The Internal Revenue Service has classified the Fund as a private foundation as defined in Section 509(a) of the Internal Revenue Code.

Estimated 1993 federal excise tax liability is $54,400, against which estimated excise tax payments of $62,500 have been made.

(5) Commitments to Pay Grants
The Fund is committed to pay grants aggregating $926,400 at December 31, 1993. Certain of such commitments are contingent upon the fulfillment of specified conditions.
(6) Investment in The Golder, Thoma Fund
The Fund, as of December 31, 1993, made capital contributions in the amount of $1,500,000 to The Golder, Thoma Fund, a venture capital partnership. During the period of investment the Fund has shared in the results of the partnership and has received a return of part of the capital invested. The investment's fair market value of $246,992 at December 31, 1993, is computed by the Fund's investment in Golder, Thoma plus its portion of the unrealized depreciation of partnership assets as determined by Golder, Thoma in its annual financial statements.

The following summarizes activity regarding the Fund's investment for the year ended December 31, 1993:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment at December 31, 1992</td>
<td>$324,137</td>
</tr>
<tr>
<td>Pro rata portion of net loss of The Golder, Thoma Fund for the year ended December 31, 1993</td>
<td>(96,071)</td>
</tr>
<tr>
<td>Less distributions in 1993:</td>
<td></td>
</tr>
<tr>
<td>Fair market value of stock distributed in kind</td>
<td>21,000</td>
</tr>
<tr>
<td>Cash</td>
<td>36,908</td>
</tr>
<tr>
<td>Total</td>
<td>170,158</td>
</tr>
</tbody>
</table>

(7) Investment in Pfingsten Executive Fund, L.P.
The Fund, as of December 31, 1993, made capital contributions in the amount of $503,803 of its total commitment of $1,000,000 to the Pfingsten Executive Fund, L.P., a venture capital partnership. The investment's fair market value of $471,679 at December 31, 1993, is the Fund's year-end investment in Pfingsten Executive Fund.

The following summarizes activity regarding the Fund's investment for the year ended December 31, 1993:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment at December 31, 1992</td>
<td>$167,055</td>
</tr>
<tr>
<td>Plus capital contribution in 1993</td>
<td>317,490</td>
</tr>
<tr>
<td>Total</td>
<td>484,545</td>
</tr>
<tr>
<td>Pro rata portion of net loss of Pfingsten Executive Fund, L.P. for the year ended December 31, 1993</td>
<td>(12,866)</td>
</tr>
<tr>
<td>Investment at December 31, 1993</td>
<td>$471,679</td>
</tr>
</tbody>
</table>
(8) **Plan of Reorganization**

Historically the Woods Charitable Fund, Inc. has operated in Chicago, Illinois and Lincoln, Nebraska, cities of differing size and needs. In the recent past, each city has developed its own funding priorities and areas of concentration. To enhance the efficiency and responsiveness of the charitable programs in each city, a Plan of Reorganization was entered into on October 15, 1993 whereby the administration of the grant making programs will be carried on by two separate organizations each with its own governing board and its own endowment. The Woods Charitable Fund, Inc. will continue as the Lincoln, Nebraska organization while a new corporation, the Woods Fund of Chicago, will operate in Chicago, Illinois. In accordance with the Plan of Reorganization, assets, valued as of December 31, 1993, of approximately $37,406,000 are to be transferred to endow Woods Fund of Chicago. Actual funding began on January 1, 1994.

(9) **Grants Paid**

In addition to the grants described under the headings “Lincoln Grant Program” and “Chicago Grant Program” totalling $2,608,026, in 1993 the Fund forgave a $17,000 non-interest bearing loan to the Lincoln Public Schools Foundation which would have been due in June 1994. Total grants for the year were $2,625,026.