WOODS CHARITABLE FUND, INC.

A Report for the Year 1992
Mailing addresses of Woods Charitable Fund are:

Suite 2010
Three First National Plaza  P.O. Box 81309
Chicago, Illinois 60602    Lincoln, Nebraska 68501
(312) 782-2698             (402) 474-0707
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Independent Auditors’ Report
Lincoln Grant Program

<table>
<thead>
<tr>
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<th>Amount</th>
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<tr>
<td>Education</td>
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<tr>
<td>Community Development and Housing</td>
<td>59,150</td>
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<tr>
<td>Arts and Humanities</td>
<td>124,350</td>
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<tr>
<td>General</td>
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**Total Lincoln Grants Paid**  
$702,080

Chicago Grant Program

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<tr>
<td>Public Policies Affecting Families</td>
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<tr>
<td>Community and Civic Issues</td>
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<td>Education</td>
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<td>Arts and Humanities</td>
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<td>Special Purpose Grants</td>
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**Total Chicago Grants Paid**  
$1,873,500

Grand Total 1992 Grants Paid  
$2,575,580
About the Foundation

Woods Charitable Fund is a private philanthropic foundation created by Frank H. Woods, his wife Nelle Cochrane Woods, and their three sons. It was incorporated in Nebraska in 1941 and Frank Woods provided major endowment in 1952 before his death that year. In 1955 the Fund received one-third of the net residuary estate of Nelle C. Woods. Over the years the family-owned Sahara Coal Company, Inc. has contributed to foundation assets.

The Fund’s reports and Treasury Form 990-PF are on file at the Foundation Center in New York City and Washington, D.C. as well as in the offices of the Secretary of State in Lincoln, Nebraska and the Attorney General in Springfield, Illinois.

Purpose

Woods Charitable Fund makes grants to nonprofit organizations working to build stronger communities in metropolitan Chicago, Illinois and Lincoln, Nebraska. Woods supports the nonprofit sector in its role to identify and promote more just, effective and creative approaches. A particular interest is to increase opportunities for less advantaged people.

While the foundation’s overall purpose is constant, it has adapted guidelines in both cities. In Chicago, as a modest size foundation in a major city, Woods’ grant making emphasizes community participation in exploring policy options and seeking long-term solutions for urban challenges. In Lincoln, as a proportionately larger donor in a smaller city, the grant program is broader in outlook, supporting both direct service and policy programs that advance the Fund’s overall purpose.

**Trustees**

Lucia Woods Lindley  
President

George Kelm  
Vice President

Thomas C. Woods, III  
Vice President

Charles N. Wheatley  
Treasurer

Sydney D. Beane

Mary Decker

Marie Fischer

**Other Officers**

Pam Baker  
Asst. Secretary

Suzanne R. Boyle  
Secretary/Asst. Treasurer

Jean Rudd  
Executive Director

**Founders**

Frank H. Woods  
(1868-1952)

Nelle C. Woods  
(1870-1950)

Thomas C. Woods  
(1895-1958)

Henry C. Woods  
(1895-1968)

Frank H. Woods, Jr.  
(1905-1980)

**Staff**

Jean Rudd  
Executive Director

Pam Baker  
Director, Lincoln Office

Ken Rolling  
Associate Director

Nancy Helman  
Administrative Coordinator

Joan Lemke  
Administrative Assistant
Foundations work in partnership with nonprofit leaders of creativity and commitment. We meet them when they propose strategies, innovations, a course of action for the future. In addition to these inspiring people, the context for our work is hard information, some of it discouraging. Many studies in the past year suggest the gap between rich and poor continues to grow, as do the number of people moving through our public aid system. A change in the presidency in Washington may mean a number of new policy initiatives to which states will respond and greater flexibility for states to experiment with new approaches to social welfare issues. These conditions make the nonprofit sector’s interactive role with government in policy development all the more important.

**Lincoln Grant Program** In 1992, the Fund’s Lincoln office awarded 54% of their grants to Children, Youth and Families programs. Grants assisted projects for young people on probation who must work to pay restitution and court costs, projects for low-income youth who need summer jobs, and for mentors who work with at-risk youth on probation or on the verge of opting out of school. One grant provided housing and educational support for pregnant or parenting teenagers while another sought to prevent pregnancy by developing a strong sense of self-esteem in young women considered at risk for becoming pregnant.

Lincoln’s program also promoted an awareness of the increasing multicultural nature of American society. Several 1992 grants had very specific multicultural impacts including a study to determine the knowledge, skill and attitudes of school leaders in Nebraska in the area of intercultural education. Other funded projects with multicultural implications include the start up of a multicultural preschool program and a tutoring program for high-risk, low-income (and largely minority) youth.

**Thomas C. Woods, Jr. Memorial Grant Award** The second annual Thomas C. Woods, Jr. Memorial Grant was awarded in 1992 to Lighthouse, a meeting and gathering place for at-risk youth ages 14-18. The award is designed to stimulate the development of innovative programs for disadvantaged children and youth in Lincoln. The Lighthouse “Go To Them” program trains college student volunteers to encourage youth who have dropped out of school to develop and pursue individual educational goals. In its first years “Go To Them” will work with students at Lincoln High School, but it is expected to be a model prevention program that will, in time, extend to other schools.

The 1991 Memorial Grant awardee, the Lincoln YWCA working in partnership with Planned Parenthood of Lincoln, received second-year funding from Woods for its teenage pregnancy prevention program. The “Survival Skills Program” provides comprehensive programming including academic support, career education, self-esteem enhancement and recreational activities to help youth participants choose not to become pregnant.
Chicago Community Organizing Award In Chicago, the two major funding areas are Community Organizing and Public Policies Affecting Families. We target these areas because both organizing and policy analysis can identify barriers to disadvantaged people's full participation and can propose alternatives that are more effective and equitable.

In 1992, Woods approached Wieboldt Foundation, along a leader in funding organizing, with the idea of jointly making an annual community organizing award. They responded with enthusiasm. (Happily a third foundation, John D. and Catherine T. MacArthur Foundation, will join in the award in 1993.) The award is intended to recognize the achievements of community organizations and to help the public better understand the contributions of community-based leadership. The first annual award went to Interfaith Organizing Project, based in African-American churches on Chicago's West Side. The award, that might have gone to any number of strong community organizing efforts, acknowledged the very specific successes IOP achieved, working in one of the city's poorest neighborhoods.

The event recognizing IOP also honored Monsignor John Egan, who for decades has participated in and encouraged effective organizing in close connection with his distinguished career in the church. An awards celebration brought together hundreds of community leaders, civic and public sector representatives and funders.

Welfare-To-Work Policy Woods' Public Policies Affecting Families (PPAF) program focuses on Illinois welfare-to-work programs — those policies intended to assist people in the transition from welfare dependency to economic self-sufficiency. During the 1980's and early '90's much has been learned as public agencies attempt to move welfare participants into the work force. We know that most people on welfare are children so that a transition to work for their mothers requires safe and affordable child care. We know that most people on welfare are not just willing but eager to work, if the job does not leave them still desperately poor. We know that skill levels of adults on welfare range from college graduate to complete illiteracy and that, for a small proportion of people on welfare, enormous personal problems associated with poverty must be overcome before an employer will hire. And we know that economic restructuring has put many higher skilled people out of work, increasing the competition for welfare recipients with fewer skills. The transition from welfare to work is as much about education and training, health care, child care and transportation, and about job creation, as it is about the will to work.

After seven years of PPAF grants totaling $2.8 million, Woods commissioned an evaluation of the program. We are glad to share the document with people interested in either evaluation processes or welfare reform. The evaluation supports our conviction that welfare policy is a field of growing public concern and debate, particularly with new Clinton administration proposals. Although in recent years there was little foundation attention to welfare, a new group has arisen, Grantmakers' Income Security Task Force. Woods staff is actively participating. GIST plans to explore public opinions, research needs, and pay attention to state-level nonprofits working on welfare and related issues like earned income tax credits that could enable the working poor to escape poverty.
Foundation Activities  Clearly the major purpose of foundations is to 
thoughtfully but efficiently transfer dollars to strategic nonprofit 
organizations so that they can go about their work. However foundation 
people as well as dollars also can be resources. In the past year 
trustee Thomas C. ("Chip") Woods, III chaired the Lincoln Foundation 
and Nebraska Art Association. Board president Lucia Woods Lindley 
continues the work of her Sophia Fund grantmaking at the Chicago 
Foundation for Women and the Ms. Foundation.

Trustee Syd Beane resigned as director of the Indian Center in 
Lincoln to become western regional director for the Center for Com-
munity Change. In this capacity, he will be working full time as an ad-
visor to community development groups. Marie Fischer advises non-
profits on their planning and fundraising as a Grantsmanship Center 
trainer. George Kelm is active on the Voices for Illinois Children 
board, among many others. Mary Decker’s work in policy and capital 
planning for Cook County puts her in the catbird’s seat on a broad 
range of urban issues.

Among staff, Pam Baker serves on the executive committee of the 
Lincoln Community Development Partnership and is treasurer of the 
80-member Human Services Federation. Ken Rolling is co-chair of the 
national grantmaker group, the Neighborhood Funders, and is an active 
leader of the Chicago Poverty Task Force. Jean Rudd chairs the board 
of the Chicago association of grantmakers, the Donors Forum. These 
and many other associations make Woods trustees and staff available 
for community service and bring back enriched perspectives to the 
foundations.

In 1992 Mary Decker and Marie Fischer began their terms as 
Woods trustees and a new trustee, Charles N. Wheatley, was elected. 
He has long served the foundation as treasurer and secretary, and is 
the recently appointed president of Sahara Enterprises. The Fund also 
welcomed Nancy Helman as administrative coordinator in Chicago. 
Suzanne R. Boyle became the Fund’s secretary and assistant treasurer. 
In another transition, Daryl Woods, former director of the Public 
Policies Affecting Families program, departed at the end of the year.

During the year the foundation began important discussions about 
its future directions. Having reached the age of 51 and expecting transi-
itions in long-time board leadership, the Fund’s trustees have initiated 
analysis and planning for the next phase of the foundation’s evolution.

Sincerely,

Lucia Woods Lindley      Jean Rudd
President,               Executive Director
Board of Trustees
In Lincoln, the Fund supports a broad range of activities which include but are not limited to the Special Interests described below. We are interested in reviewing plans for pilot projects and innovative programs as well as sustained growth for organizations whose work coincides with the purposes of the foundation. Woods, in Lincoln, funds both direct services and policy programs.

The Fund looks forward to opportunities to review proposals joining non-traditional partners (academic, community, government, business, public service, religious) to explore ways to integrate perspectives and talents toward common goals. We support projects that involve coalition building, public education and advocacy to effect positive social change and improve opportunities for all citizens.

The 1992 Thomas C. Woods, Jr. Memorial Grant, encouraging innovation in programs for disadvantaged youth, went to Lighthouse. This competitive grant award supports the Lighthouse “Go To Them” program. It trains college student volunteers to work with 14-18 year olds, helping those who drop out of high school to continue their education. Pictured are Lighthouse participants and staff.
Special Interests

Children, Youth and Families A significant cornerstone in community life is the family. Its power to mold and develop mentally healthy, secure, loving members determines Woods’ interest in supporting projects to strengthen families and provide opportunities for youth to achieve their full potential. This is a broad category and may include either direct service or policy programs focused on child care, single parenthood, the elderly, family planning, shelter, troubled families as well as others.

Education Our educational systems face the challenge of preparing individuals for a rapidly changing society. Today, more than ever before, education touches the lives of people of all ages—students at all levels, newcomers learning a second language and culture, adults retraining to handle new job responsibilities. The Fund looks forward to opportunities to review proposals from existing educational institutions and for creative new programs to meet the challenge.

Community Development and Housing To sustain or increase its vitality, a community must consistently nurture its development, particularly as that development impacts lower income citizens. The Fund supports projects that empower less advantaged Lincoln residents to participate in the economic system and that foster community participation and responsibility thus joining people to solve problems and improve opportunities for all. Programs that expand the availability of local low-income housing are a priority of the Fund in Lincoln. Advocacy groups, neighborhood, citizen and community organizations are avenues to promote citizen involvement and strengthen neighborhoods.

Arts and Humanities Visual art, literature, dance, theater and music are cornerstones of a community’s aesthetic and ethical being. They can bind people through shared experience and understanding. They stimulate thought, communication and creativity. Woods supports programs in the arts and humanities that will enhance or develop the common aesthetic spirit through education, creation or performance.

Please read “To Apply for a Grant” before contacting the Fund.

Funding Limitations and Restrictions

The Fund will consider grant applications from organizations outside of Lincoln, Nebraska in the context of their impact on Lincoln. While the Fund makes grants in diverse fields, the following areas are not eligible for grant review:

- Individual needs
- Endowments
- Scholarships, Fellowships
- Charity benefits or program advertising
- Religious programs
- Capital projects in health care institutions
Applicants generally should be organizations described in Section 501(c)(3) of the Internal Revenue Code and have a written ruling from the IRS that they also are an organization described under Section 509(a)(1), (2) or (3) of the Code (publicly supported organizations and their affiliates). Occasionally the Fund considers proposals from 501(c)(3) organizations that are private, operating foundations. In exceptional cases, the Fund will consider fiscal agent and expenditure responsibility grants.

You should know before applying

- Staff can help you decide whether it is worth your time and expense to apply if you contact the Fund in writing or by phone with a summary request and budget information.

- Staff welcomes opportunities to meet with applicants after having read your proposal.

- Because there are absolute limits to the number of proposals the Fund can study thoroughly and support, many excellent proposals necessarily cannot be funded.

- Grantees are asked to sign a grant agreement form requiring written reports (usually at six-month intervals) on use of the grants in relation to the original proposal objectives and the results of programs.

- A selected number of grantees may be asked to participate in a post-grant evaluation to study in more depth program accomplishments compared to proposed objectives.

- We request a statement describing the multicultural impact that your project or organization may have.
First, please read the Fund’s guidelines, procedures and timetable.

Second, contact the Fund with a two-page summary request and budget or a phone call to determine if it is worth your time and expense to proceed with a full proposal.

Third, if the Fund requests a full proposal, please include all the information requested:

☐ Cover letter including a request for funding.

☐ Summary (1-2 pages) of what you propose and what you expect to accomplish, if not included in the letter. This is the key part of your proposal that Woods trustees review.

☐ Proposal in any format but emphasizing what specifically you hope to accomplish in the proposal period (what purpose and results in what time frame) and your strategy (how you plan to reach your objectives). The Fund especially welcomes workplans. Include also a brief description of the organization’s origin and purpose, the need your proposal addresses, the multicultural impact your project or organization may have and a summary of current, actual activities and staffing. The proposal itself should not exceed 10 pages.

☐ Copy of IRS exempt-status determination letter.

☐ List of board members with addresses and phone numbers.

☐ Financial statement (audited, if available) for the last fiscal year.

☐ Income and expense budgets for current fiscal year. Include dates for your fiscal year.

☐ Income and expense budgets for year for which you seek support, if different from your current fiscal year. Include dates.

☐ Send both overall organization budgets and project budget if you seek support for a specific project.

☐ List of actual commitments toward your projected budget.

☐ List of other sources being asked for support and their responses to date.

☐ Form 990 IRS return if annual budget exceeds $500,000.

☐ Proposal Cover Sheet supplied by the Fund.

Timetable

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<th>Board meeting:</th>
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<td>March 1 - April 15</td>
<td>June</td>
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<tr>
<td>June 1 - July 15</td>
<td>September</td>
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<tr>
<td>September 1 - October 15</td>
<td>December</td>
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Proposals that arrive well before the deadline have a better chance for careful review.

Proposals not clearly within the Fund’s priority areas but not clearly ineligible are screened by the Fund’s local board members. If at least one board member seeks board meeting discussion of the proposal, it can be considered for funding.

Nebraska applicants should direct inquiries to:

Pam Baker
Woods Charitable Fund, Inc.
P.O. Box 81309
Lincoln, NE 68501
(402) 474-0707
Grants are unrestricted for general support and for Lincoln-based organizations unless otherwise described.

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<th>CHILDREN, YOUTH AND FAMILIES</th>
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<tr>
<td>Catholic Social Services</td>
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<td>For outreach/counseling program in the Hispanic community to assess and address issues related to substance abuse, domestic violence and emotional stress; in collaboration with the Hispanic Community Center</td>
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<td>Charlie Brown's Kids</td>
<td>3,000</td>
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<td>Fiscal Agent: Widowed Persons Service of Lincoln, Nebraska</td>
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<tr>
<td>For general operation during the coming year as it offers support for youth who have experienced the death of a parent</td>
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<tr>
<td>Technical assistance for fund development plan to continue program supporting children who have experienced the death of a parent</td>
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<td>Community Human Services Planning Council</td>
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<td>Fiscal Agent: United Way of Lincoln and Lancaster County</td>
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<td>Second payment of 3-year $60,976 grant to operate Community Human Services Planning Council as it develops a human services planning process in Lincoln</td>
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<td>Food Bank of Lincoln, Inc.</td>
<td>4,000</td>
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<td>To fund production of promotional materials for the new fund-raising promotion called Check Out Hunger for the purpose of raising money to buy most needed food and household items for area charities serving those in need</td>
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<tr>
<td>Friendship Home of Lincoln</td>
<td>28,500</td>
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<td>To maintain and expand the Children in Shelter Project for women and children victims of domestic violence and sexual assault</td>
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<tr>
<td>The Gathering Place</td>
<td>20,000</td>
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<tr>
<td>To assist in purchasing a permanent home for The Gathering Place which houses Lincoln’s only evening soup kitchen</td>
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<tr>
<td>Homestead Girl Scout Council, Inc.</td>
<td>15,000</td>
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<tr>
<td>To hire a Program Specialist to develop Girls Only, a program using video production medium to help adolescent girls avoid high risk behaviors associated with low self-esteem</td>
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</table>
**Hotels/Motels in Partnership Inc.**  
To house and feed people in emergency need in the Greater Lincoln Area when other resources are unavailable or inappropriate, in particular to house battered individuals, disaster victims, etc.  
$7,500

**Houses of Hope of Nebraska, Inc.**  
To aid Project Hope, a fund-raising and renovation effort to improve quality of services delivered to residents of Houses of Hope, a halfway house for men recovering from chemical addiction  
$50,000

**Juvenile Probation Office/Lancaster County**  
To employ youth who owe court-ordered restitution and court costs in the Youth to Victim Restitution Project  
$2,500

**Lighthouse**  
Thomas C. Woods, Jr. Memorial Grant award for a volunteer mentoring program assisting students who drop out of Lincoln High School to re-enter or pursue other education  
$13,800

**Lincoln Interfaith Council**  
To support the Partnership Empowerment Program (PEP) in its child abuse/neglect prevention project  
$10,000

**Nebraska Human Resources Research Foundation, Inc.**  
For the Com-Link Project developing leadership in youth who are on probation through juvenile court  
$9,000

**Rape Spouse Abuse Crisis Center, Inc.**  
To support costs of a full-time client advocate providing information and support to victims of domestic violence, sexual assault and incest  
$20,000

**Sniffles, Inc.**  
Renewal support for staff for Lincoln’s only day care for mildly ill children  
$20,000

**Transitional Life Center Inc.**  
Toward the director’s position in transitional home where women who have been incarcerated can go to strengthen skills necessary to live productive, crime free lives  
$13,200

**Voices for Children in Nebraska**  
For salary and related expenses of a deputy director, for a journalist to produce “Child’s View”, and travel and miscellaneous expense for staff expansion  
$32,500

Travel expense for two representatives from Voices in Nebraska to meet with Voices for Illinois Children to pursue cooperative/collaborative projects  
$1,482
Whitehall Family Resource Center  
**Fiscal Agent: University Place Community Organization Inc.**  
For general operating budget as the Center continues to offer parenting education and support groups, case coordination, after school child care, outreach, family activities and education groups  
$20,000

**Y.M.C.A. of Lincoln**  
To support Big Brothers/Big Sisters activities for kids while they are waiting to be matched with a volunteer in the Big Program  
$12,000

**Y.W.C.A.**  
For the teen pregnancy prevention program in collaboration with Planned Parenthood of Lincoln  
$32,500

**Youth Service System of Lincoln and Lancaster County**  
For the Teaching and Learning with Children Outreach Program to assist teenage mothers, their children, partners and family members  
$20,000

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**Total Children, Youth and Families**  
$378,280

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**EDUCATION**

**First-Plymouth Preschool**  
**Fiscal Agent: First-Plymouth Congregational Church**  
Second payment of two-year $15,000 operating grant for multicultural preschool program  
$5,000

**Lincoln Action Program, Inc.**  
To assist the Lincoln Education Outreach Program in offering core services to 120 low-income and/or minority at-risk youth to reduce dropout and-inspire pursuit of continued education  
$20,000

**Lincoln Children’s Museum**  
To expand two staff positions to support Family Education and Recreation Program serving hundreds of low- to moderate-income families from multicultural backgrounds  
$10,000

**Literacy Council of Nebraska**  
For pilot project designed to meet the literacy needs of parents of young children, teaching parents how to assist their children in gaining literacy skills  
$1,500
Nebraska Art Teachers Association
For implementation of Prairie Visions in Nebraska, a
discipline-based art education project $ 10,000

Nebraska Center for Excellence in Education
Toward cost of participant materials to be distributed at the
Governor’s Institute for School-Community Leadership to ex-
plore school restructuring alternatives 1,500

University of Nebraska
Fiscal Agent: University of Nebraska Foundation
For Department of Educational Administration Project to
determine the knowledge, skill and attitudes of Nebraska
school leaders in the area of intercultural education and to
identify needs in this area 11,300
For Chancellor’s Internationalization Fund, to initiate program
of faculty development to further internationalize the Univer-
sity; grant made in honor of Sheila Griffin who served five
years as a Woods trustee 25,000

Total Education $ 84,300

COMMUNITY DEVELOPMENT AND HOUSING

City of Lincoln
Toward the direct costs of the Summer Youth Employment
Program targeting up to 140 disadvantaged youth 5,000

For direct costs of Neighborhood Organizing for
Neighborhood Improvement Workshop for Lincoln’s
neighborhood associations 1,500

Community Organizing Pre-Sponsoring Committee
Fiscal Agent: Lincoln Interfaith Council
Phase II development of a broad-based, multicultural,
county-wide sponsoring committee which will seek leaders
and strategies for community problem solving 7,650

Fresh Start, Inc.
For general operation of organization that houses and
educates homeless single women as they prepare to re-enter
the community 10,000

Lincoln Neighborhood Housing Services Inc.
General operating support for organization which revitalizes
and builds housing in targeted Lincoln neighborhoods 10,000
Small Business Resource Center
Fiscal Agent: Lincoln Indian Center, Inc.
For the general operation of the Center, a business incubator serving women, ethnic minorities and low- to moderate-income individuals $25,000

Total Community Development and Housing $59,150

ARTS AND HUMANITIES

Lincoln Symphony Orchestra Association
To support direct costs of 1992 Lollipop Concert series in Lincoln Public Schools, grades 2-4 2,500

Opera/Omaha, Inc.
For renewal operating expense for “The Ensemble” to schedule a two-week project reaching over 25 Lincoln schools and community organizations 10,000

University Place Art Center
To support the director’s salary and minority consultants for the Center’s education program 11,850

University of Nebraska
Fiscal Agent: University of Nebraska Foundation
Seventh payment of ten-year $1,000,000 grant for land acquisition, building construction costs and landscaping costs for the Lied Center for Performing Arts 100,000

Total Arts and Humanities $124,350

GENERAL

Lincoln Council on Alcoholism and Drugs, Inc.
Matching grant to stimulate broad-based support for Communities of Hope and its church-based work with substance abuse programs 12,500

Lincoln Grief Center, Inc.
Technical assistance for the Grief Center’s board of directors to develop and institute a marketing strategy that will lead to a stable financial base for the Center 1,500
NAP, Inc.
For salary and associated expense of caseworker position in Lincoln to assure continuation and expansion of the Nebraska AIDS Project’s Lincoln program serving those affected by AIDS/HIV $20,000

Nebraska Urban Indian Health Coalition, Inc.
To support ongoing activities related to the development of primary health care in Lincoln for low-income people 12,000

Sunrise Communications, Inc.
Second year operating support for grass roots, community-based radio station KZUM 10,000

<table>
<thead>
<tr>
<th>Total General</th>
<th>$ 56,000</th>
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| Total Lincoln Grants Paid | $ 702,080 |
In metropolitan Chicago the Fund supports a wide range of activities that include issue analysis, public policy, advocacy and citizen participation. Two funding priorities are Community Organizing and Public Policies Affecting Families, a program currently focused on welfare-to-work policies. Two other “Special Interests” are noted below. The grants list that follows may suggest to applicants the foundation’s recent grant patterns.

Interfaith Organizing Project was the winner of the 1992 Woods-Wieboldt Community Organizing Award. Pictured: Wieboldt Foundation board president Anita Darrow, IOP board president Rev. Dr. Arthur D. Griffin, Woods trustee George Kelm, honoree Msgr. John Egan.

Applauding the winners are businessman Jerry Reinsdorf and IOP representatives who negotiated an agreement with him: Wilma Ward, board member Earnest Gates, Ed Shurna.

City Commissioner of Planning and Development Valerie Jarrett looks on as Msgr. John Egan receives congratulations from Cardinal Bernardin. (Photos: Thom Clark)
Community Organizing: Participation and Leadership in Communities

"Community organizing does nothing less than provide people with the means to exercise their democratic rights and responsibilities on a continuing basis." An organizing institute

What is community organizing? It is a process that brings together people who, individually, may lack the power to improve their communities; that enables local leaders to develop through training; that provides an arena for the community to identify problems and opportunities, and to apply community skills in proposing and promoting positive change. Independent, democratic community organizations can hold accountable the public and private institutions that may be the source of problems or the source of solutions or both. Effective community organizations generally are membership controlled, have active boards, hold regular conventions to determine their agenda and bring about institutional and policy changes.

The Fund welcomes proposals from all types of community organizing groups. Among the characteristics or goals that we value in community groups are:

- an active board reflecting the community and accountable to it;
- a growing, definable, inclusive membership;
- a formal program to develop the leadership capacity of its volunteer members and staff;
- measurable goals and projected outcomes that increase opportunities for lower income people;
- the capacity to achieve results beyond raising issues and reacting to circumstances;
- a cooperative relationship with other organizations across gender, race and income lines;
- an ability to raise and manage funds to carry out its program, including raising significant local funds.

Community organizing groups, including new and emerging and well-established organizations, are invited to request from the Fund special community organizing guidelines before submitting a proposal.

Public Policies Affecting Families

This program focuses on public policies affecting the employment possibilities for Illinois' poorest residents: welfare-to-work policies. While there is a perception that welfare programs are shifting from "maintenance" to promoting self-sufficiency, in Illinois just over 1% of the Public Aid budget is spent on welfare-to-work programs. (Most is paid to health care providers.) Studies clearly show that effective employment programs, particularly for lower skilled people, require an investment. But these studies conclusively show that this investment pays back to the public in reducing welfare costs and generating tax revenue.

Well-crafted and flexible welfare-to-work programs can enable and encourage self-sufficiency, particularly if they have realistic goals in an era of high unemployment. Because there are more children
than adults on welfare, policies must also be judged by their impact on the whole family, for example, by the availability of quality child care and continuing health coverage.

Many public programs have an impact on Illinois citizens moving from welfare to work: the national Family Support Act, Illinois’ Project Chance, the Jobs Training Partnership Act administered by the Mayor’s Office of Employment and Training, Transitional Assistance (formerly General Assistance), and City Colleges where thousands of welfare participants seek literacy and skills training.

In recent years Woods grants totaling $2.8 million have supported a range of welfare-to-work activities of nonprofit groups such as policy analysis, research, demonstration projects, public education, coalition building and advocacy. We believe the best intended policies and regulations can fail if they are not informed by actual experience at the community level. Woods therefore encourages policy-oriented proposals from community based as well as larger organizations, from recipient groups, local job creation and economic development practitioners, and from people recommending both policy improvements and strategies for getting them implemented.

More detailed program guidelines are available from the foundation.

**Special Interests**

**Public School Reform** A single area of deep, shared concern for Chicagoans is the public school system. The future of our city depends upon it but the system has failed its students to an astonishing degree. Recent school reforms offer an opportunity to revitalize, school by school, and to refocus on classroom learning. The Fund hopes to support organizations working to make school reform a reality.

**Government Accountability** Many major city governments are severely challenged by the size, complexity and cost of responsibilities facing them and by decreases in outside revenues. Chicago faces these problems weighed down by its own governance traditions. Civic and community groups can build more responsive and accountable relationships between citizens and public institutions intended to serve them. They can help government identify problems, opportunities and effective approaches to bring about change.

**Funding Limitations and Restrictions**

Geographically, grants are limited to organizations in metropolitan Chicago. Occasionally the Fund reviews proposals from outside the city if the proposed activities have statewide impact or are designed for wide application.

While the Fund makes grants in very diverse fields, the following areas are not eligible for grant review:

- Fundraising benefits or program advertising
- Individual needs
- Endowments
- Scholarships or Fellowships
- Residential care, counseling programs, clinics and recreation programs
Social services, except special projects with a clear public policy strategy or projects expressly planned for wide duplication

- Health care institutions
- Medical and scientific research
- Religious programs
- Housing construction or rehabilitation
- Business or economic development practitioners.

Arts proposals are reviewed only at the Fund’s June board meeting. The Fund makes multi-year grants to mid-sized performing arts groups and because other fields are priorities, the Fund makes very few grants. Please request separate arts guidelines and application forms.

College and university proposals are reviewed only if they directly involve faculty and/or students in applied projects of benefit and concern to the region.

Capital campaigns and capital projects (buildings and equipment acquisition, expansion and rehabilitation) are low priorities for the Fund. They will be considered only at the final (December) board meeting each year in relation to each other and to the greater priorities of the grant program. The Fund’s board approved no capital requests in Chicago in recent years.

Applicants generally should be organizations described in Section 501(c)(3) of the Internal Revenue Code and have a written ruling from the IRS that they also are an organization described under section 509(a)(1), (2) or (3) of the Code (publicly supported organizations and their affiliates). Occasionally the Fund considers proposals from 501(c)(3) organizations that are private, operating foundations. In exceptional cases, the Fund will consider fiscal agent and expenditure responsibility grants.

You should know before applying

- Staff welcomes opportunities to meet with applicants after having read your proposal.
- Because there are absolute limits to the number of proposals the Fund can study thoroughly and support, many excellent proposals necessarily cannot be funded.
- Grantees are asked to sign a grant agreement form requiring written reports (usually at six-month intervals) on use of the grants in relation to the original proposal objectives and on the results of the programs.
- A selected number of grantees are asked to participate in a post-grant evaluation to study in more depth program accomplishments compared to proposed objectives.
First, please read the Fund’s guidelines, procedures and timetable.

Second, contact the Fund with a two-page summary request and budget or a phone call to determine if it is worth your time and expense to proceed with a full proposal.

Third, if the Fund requests a full proposal, please include all the information requested below, using this checklist.

- Cover letter including a funding request.
- Summary (1-2 pages) of what you propose and what you expect to accomplish, if not included in the letter. This is the key part of your proposal that Woods trustees review.
- Proposal in any format but emphasizing what specifically you hope to accomplish in the proposal period (what purpose and results in what time frame) and your strategy (how you plan to reach your objectives). The Fund especially welcomes workplans. Include also a brief description of the organization, the need addressed and a summary of current activities and staffing. The proposal itself should not exceed 10 pages.
- Copy of IRS exempt-status determination letter.
- List of board members with addresses and phone numbers.
- Financial statement (audited, if available) for the last fiscal year.
- Income and expense budgets for current fiscal year. Include dates for your fiscal year.
- Income and expense budgets for year for which you seek support, if different from your current fiscal year. Include dates.
- Send both overall organization budgets and project budget if you seek support for a specific project.
- List of actual commitments toward your projected budget.
- List of other sources being asked for support and their responses to date.
- Form 990 IRS return if annual budget exceeds $500,000.

Timetable

<table>
<thead>
<tr>
<th>Submission dates:</th>
<th>Board meeting:</th>
</tr>
</thead>
<tbody>
<tr>
<td>March 1 - April 15</td>
<td>June (includes all arts proposals)</td>
</tr>
<tr>
<td>June 1 - July 15</td>
<td>September</td>
</tr>
<tr>
<td>September 1 - October 15</td>
<td>December</td>
</tr>
</tbody>
</table>

Proposals that arrive well before the deadline have a better chance for careful review.

Proposals not clearly within the Fund’s priority areas but not clearly ineligible are screened by the Fund’s local board members. If at least one board member seeks further review of the proposal, it can be considered for funding.

Illinois applicants should direct inquiries to:

Jean Rudd or Ken Rolling
Woods Charitable Fund, Inc.
Three First National Plaza
Suite 2010
Chicago, IL 60602
(312) 782-2698
Grants During 1992

Grants are unrestricted for general support and for Chicago-based organizations unless otherwise described.

## Community Organizing and Community Programs

<table>
<thead>
<tr>
<th>Participation and Leadership in Communities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Ashburn Community Project</strong></td>
</tr>
<tr>
<td>Fiscal Agent: St. Denis Catholic Church</td>
</tr>
<tr>
<td>Final payment of $12,000 renewal grant for group organizing on far southwest side of Chicago</td>
</tr>
<tr>
<td><strong>Chicago Acorn</strong></td>
</tr>
<tr>
<td>Fiscal Agent: Arkansas Institute for Social Justice, Inc.</td>
</tr>
<tr>
<td>Toward organizing on the south and west sides of Chicago for improved housing policies, more equitable banking practices, and better public schools</td>
</tr>
<tr>
<td><strong>Chicago Foundation for Women</strong></td>
</tr>
<tr>
<td>Renewal grant restricted to Women’s Leadership Development Initiative to develop women leaders in low-income communities in Chicago</td>
</tr>
<tr>
<td><strong>Community Media Workshop</strong></td>
</tr>
<tr>
<td>Restricted to costs of coordinating and publicizing the 1992 Woods-Wieboldt Community Organizing Award event</td>
</tr>
<tr>
<td><strong>Developing Communities Project</strong></td>
</tr>
<tr>
<td>Final payment of $25,000 grant for south side African-American organization working to expand its church-based membership</td>
</tr>
<tr>
<td>Renewal support with an additional matching grant offer to encourage increased membership contributions</td>
</tr>
<tr>
<td><strong>Edgewater Community Council, Inc.</strong></td>
</tr>
<tr>
<td>Restricted to community organizing initiatives focused on public safety and crime prevention</td>
</tr>
<tr>
<td><strong>Evanston Neighborhood Conference</strong></td>
</tr>
<tr>
<td>General support with additional matching funds possible for community organization in a near north suburb</td>
</tr>
<tr>
<td><strong>Gamaliel Foundation</strong></td>
</tr>
<tr>
<td>Payment of grant potentially totaling $45,000 for training institute for community organizers and volunteer leaders in Chicago and the Midwest</td>
</tr>
<tr>
<td>Organization Name</td>
</tr>
<tr>
<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>Greater Grand Crossing Organizing Committee</td>
</tr>
<tr>
<td>Renewal support for a church-based south side community organizing project</td>
</tr>
<tr>
<td>Hermosa Community Organization</td>
</tr>
<tr>
<td>First-time support of organization on Chicago's northwest side focused on community organizing to respond to crime and gang issues</td>
</tr>
<tr>
<td>Interfaith Citizens Organization</td>
</tr>
<tr>
<td>First payment of a two-year general operating grant for a growing church-based community organizing effort in Hammond, IN</td>
</tr>
<tr>
<td>Interfaith Community Organization of Pilsen/Heart of Chicago</td>
</tr>
<tr>
<td>Fiscal Agent: St. Procopius Church</td>
</tr>
<tr>
<td>Renewal grant for group formerly known as Catholic Community of Pilsen, now broadening its organizing to include other churches and institutions in a largely Latino neighborhood of Chicago</td>
</tr>
<tr>
<td>Interfaith Leadership Project of Cicero, Berwyn, Stickney</td>
</tr>
<tr>
<td>Fiscal Agent: Mary Queen of Heaven Catholic Church</td>
</tr>
<tr>
<td>Toward organizing activities in a mixed white and Latino suburban community working on issues of public safety and government accountability</td>
</tr>
<tr>
<td>Interfaith Organizing Project of Greater Chicago</td>
</tr>
<tr>
<td>Toward a $15,000 grant awarded to IOP, recipient of the 1992 Community Organizing Award, created jointly by Woods and Wieboldt Foundation to honor effectiveness in organizing</td>
</tr>
<tr>
<td>First payment of two-year grant that will include additional matching support for IOP's church-based organizing and development activities on Chicago's west side</td>
</tr>
<tr>
<td>Joliet Area Church-Based Organized Body (JACOB)</td>
</tr>
<tr>
<td>Fiscal Agent: Sacred Heart Parish</td>
</tr>
<tr>
<td>First payment of a two-year $40,000 grant for a developing community organizing effort in Joliet, IL</td>
</tr>
<tr>
<td>Lake Interfaith Families Together</td>
</tr>
<tr>
<td>Payment toward a two-year $50,000 grant supporting this church-based group organizing to train leadership in Gary, IN and to develop solutions to community problems including deteriorated housing</td>
</tr>
</tbody>
</table>
Lakeview Tenants Organization
Payment toward a $10,000 grant for a multi-issue organizing effort on Chicago’s north side  $ 5,000
Payment toward $15,000 renewal grant  7,500

Lao American Community Services
First-time support for an agency undertaking a community leadership program with Lao-Americans; includes consulting assistance in community organizing  12,000

Logan Square Neighborhood Association
For general support and leadership training for group organizing on multiple issues in an ethnically diverse community  17,000

Near Northwest Neighborhood Network
Toward organizing in the Bucktown area with a focus on schools, senior issues, and public safety  7,500

Northwest Austin Council
Renewal support for a community organizing effort focused on drug houses and crime prevention on Chicago's far west side  7,500

Northwest Neighborhood Federation
Second payment of grant for a largely white ethnic community organizing effort on Chicago’s far northwest side, focusing on gang issues  7,500
Renewal support  15,000

Organization of the NorthEast
First payment of two-year $60,000 grant for this multi-ethnic community organizing effort in Chicago’s Uptown and Edgewater neighborhoods  30,000

Pilsen Neighbors Community Council
Payment toward $20,000 grant for a community organizing effort in a largely Mexican-American community  10,000

Public Allies: National Center for Careers in Public Life
First payment of a two-year $20,000 grant for Chicago Project of Public Allies, an effort to recruit and train young people for careers in community organizations and government agencies  10,000

Rogers Park Community Action Network (formerly Rogers Park Tenants Committee)
Renewal support for a multi-issue community organizing effort on Chicago’s far north side  12,500
<table>
<thead>
<tr>
<th>Organization</th>
<th>Grant Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>South Austin Coalition Community Council</td>
<td>$6,500</td>
</tr>
<tr>
<td>Support for an organizer internship program for African-American residents on Chicago's west side</td>
<td></td>
</tr>
<tr>
<td>South Suburban Action Conference</td>
<td>$30,000</td>
</tr>
<tr>
<td>Renewal support for a community organizing effort in the southern suburbs of Chicago focused on low-income housing and crime issues</td>
<td></td>
</tr>
<tr>
<td>United Citizens Organization of East Chicago, IN</td>
<td>$17,500</td>
</tr>
<tr>
<td>Renewal support for a community organization working on economic, educational, environmental, and governmental issues in northwest Indiana</td>
<td></td>
</tr>
<tr>
<td>United Neighborhood Organization of Southeast Chicago</td>
<td>$15,000</td>
</tr>
<tr>
<td>For their organizing of community participation and problem solving on issues of public school improvement, public safety, and planning for the physical and economic revitalization of southeast Chicago</td>
<td></td>
</tr>
<tr>
<td>Voice of the People of Uptown, Inc.</td>
<td>$15,000</td>
</tr>
<tr>
<td>Toward community organizer salary for issue-related work for this developer of affordable housing on Chicago’s north side</td>
<td></td>
</tr>
<tr>
<td>Women Leaders in Action</td>
<td>$2,500</td>
</tr>
<tr>
<td>Fiscal Agent: Garmaniel Foundation</td>
<td></td>
</tr>
<tr>
<td>For partial support of program evaluation</td>
<td></td>
</tr>
<tr>
<td>Women United for a Better Chicago</td>
<td>$12,500</td>
</tr>
<tr>
<td>Renewal grant including evaluation funding for the Women In Organizing Project, an effort to develop women leaders in low-income communities through mentoring and skills workshops</td>
<td></td>
</tr>
</tbody>
</table>

**Subtotal**

$517,000

**Other Community Programs**

<table>
<thead>
<tr>
<th>Organization</th>
<th>Grant Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Centers for New Horizons</td>
<td>$40,000</td>
</tr>
<tr>
<td>Second payment of three-year support for management restructuring in multipurpose agency working in Chicago’s poorest south side communities</td>
<td></td>
</tr>
<tr>
<td>Chicago Area Project</td>
<td>$500</td>
</tr>
<tr>
<td>Restricted to costs of participation by Women for Economic Security and other Chicago area activists in a Detroit conference on antipoverty strategies</td>
<td></td>
</tr>
</tbody>
</table>
Community Renewal Society
For the Renewal in Communities Program, a sustained effort to build and support the capacity of community-based organizations  $ 20,000

Illinois Impact
A Program of the Illinois Conference of Churches
One-time grant restricted to the costs of the Poverty Sabbath mailings regarding issues affecting people receiving welfare  2,500

Partners in Community Development
Fiscal Agent: Community Renewal Society
Support for collaborative effort of churches, synagogues and community-based organizations organizing to spur community reinvestment and public housing improvements on the south side  7,500

Poverty Task Force
Fiscal Agent: Donors Forum of Chicago
Toward consultant costs to explore feasibility of a Poverty Task Force initiative aimed at addressing persistent poverty in Chicago  2,000

University of Illinois at Chicago, Dept. of Sociology
To prepare the 1990 edition of the "Chicago Area Local Community Factbook"  15,000

Subtotal  $ 87,500

Total Community Organizing and Other Community  $ 604,500

PUBLIC POLICIES AFFECTING FAMILIES

Chicago Area Project
Second payment of $90,000, two-year grant for Women for Economic Security, a welfare recipient-based initiative convening community-based affiliates to train work/welfare reform advocates and spearhead a campaign to improve welfare and employment training policies in Illinois  45,000

Toward costs of producing a public information brochure on welfare-to-work policy issues in Illinois  3,100

Chicago Commons Association
Toward the salary of the public policy director in Common's Employment Training Center, a model welfare-to-work program  40,000
Chicago Council on Urban Affairs
Restricted to planning a model welfare-to-work project for the Chicago City College system $ 10,000

Chicago Jobs Council
Second payment of $30,000 grant for agency analyzing and advocating job policies to positively affect lower income workers 15,000

Day Care Action Council
 Renewal support for a project to improve the day care policies and child care provisions of the Illinois Department of Public Aid system 35,000

Fifth City Chicago Reformulation Corporation
First payment of a $30,000 grant restricted to a collaborative project of Fifth City: Chicago, The Inner Voice, and the Westside Survival Initiative to engage in advocacy and policy work on issues affecting people in the state’s Transitional Assistance program 15,000

Legal Assistance Foundation of Chicago
Two-year $100,000 renewal grant restricted to salary and associated costs of staff attorney to specialize in welfare-to-work policy issues in Illinois 50,000

Northwestern University
Center for Urban Affairs and Policy Research
Renewal for policy research and dissemination of lessons learned at Project Match, a community-based program to assist adults on welfare achieve economic independence 25,000

Ounce of Prevention Fund
For project to increase the participation of welfare clients and teen parents in welfare-to-work policy activities 35,000

Paternal Involvement Demonstration Project
Fiscal Agent: Community Renewal Society
Toward second year of a public/private funding collaborative with three demonstration projects working with young fathers of children on welfare; the goal is to study the links between employment/education opportunities and family involvement and to promote improved public policies 100,000

Public Welfare Coalition
Renewal support for advocacy organization seeking improvements in Illinois' welfare system 25,000

For participation in state legislative hearings on General Assistance cutbacks 2,000
Travelers & Immigrants Aid
Chicago Institute on Urban Poverty
Payment toward a $25,000 grant for CIUP’s Employment Policy Initiative aimed at addressing the needs of hard-to-reach, single, adult men in the city of Chicago $ 12,500

Voices for Illinois Children
Toward statewide organization’s advocacy, public education, and constituency building for policies to improve opportunities for children, particularly those living in poverty 40,000

Renewal support 40,000

Women Employed Institute
Toward a policy associate to promote job training policies and programs that enable trainees to become economically self-sufficient 25,000

Total Public Policies Affecting Families $ 517,600

COMMUNITY AND CIVIC ISSUES

Public Policy and Planning

Canal Corridor Association
For cost of consultants’ fees and publication of a report for a project developing a strategy to link youth employment and capital development projects in the Illinois and Michigan Canal Heritage Corridor 11,000

Chicago Alliance for Neighborhood Safety
First payment of two-year grant restricted to monitoring the implementation of community policing in Chicago’s neighborhoods 20,000

Chicago Metropolitan Battered Women’s Network
Toward a first-time staff person and office for this membership group developing awareness and policy advances on issues related to domestic violence 15,000

Civic Federation
For the Chicago Board of Education Project, an effort to provide the Board with a blueprint for a more understandable and usable annual budget 7,500

Illinois Caucus on Teenage Pregnancy
For the Caucus’ work in improving welfare-to-work policies as they affect young parents 15,000
Landmarks Preservation Council of Illinois
Restricted to the Economic Incentives Project, a joint effort with the city’s Department of Planning and the Commission on Chicago Landmarks, to develop policies that remove financial disincentives to private owners of landmarked buildings $ 15,000

Legal Assistance Foundation of Chicago
For costs for two legal assistance lawyers to attend a seminar on state revenue systems, tax issues, and their implications for low-income people 1,400

Metropolitan Planning Council
Toward a planner to work on transportation issues affecting the metropolitan area 20,000

Neighborhood Capital Budget Group
Renewal support for this citywide coalition to reform Chicago’s capital improvement investment policies, particularly as they affect community development 15,000

Openlands Project
Toward the Neighborhood Open Space Planning Project working with residents in south and west side neighborhoods to promote better use of unused land for parks and gardens 10,000

Subtotal $ 129,900

Housing, Jobs and Economic Development

Calumet Project for Industrial Jobs
Renewal support for Northwest Indiana Project organizing on issues of industrial job retention and economic development 10,000

Center for Neighborhood Technology
For the Tenant Ownership Project developing strategies to encourage low- and moderate-income cooperative housing through community organizing, training, and financing tools 20,000

Chicago Coalition for the Homeless
Toward organizer’s salary for the Women’s Empowerment Project, bringing the voices of women in homeless shelters into advocacy for preventing homelessness 25,000

Chicago Rehab Network
A restricted grant to this citywide organization of nonprofit housing developers to help shape Chicago’s Comprehensive Housing Assistance Strategy (CHAS) Plan 20,000
Corporation for Supportive Housing
Toward the Chicago operations of a new initiative to combat homelessness by encouraging housing combined with support services for people with mental disabilities, substance abuse, and chronic health problems; project assists nonprofit housing developers with financing, technical aid, policy, and strategy help $ 50,000

Council for Community-Based Development
Support for national association promoting understanding of and funding for nonprofit housing and economic development initiatives run by community leaders 1,000

Lakefront Single Room Occupancy Corporation
First payment of a $25,000 grant to provide support for a Single Room Occupancy (SRO) advocacy program to preserve existing SRO's and increase low-income housing options in Chicago 15,000

Lawyers' Committee for Better Housing
Final payment of two-year $22,500 grant for organization providing legal research and assistance for low-income housing programs throughout Chicago 10,000

Leadership Council for Metropolitan Open Communities
For production of a manual on the Council's Gautreaux Assisted Housing Program, a successful effort to locate poor families in mixed-income communities in the metropolitan area 5,000

Midwest Center for Labor Research
Restricted to the Austin-Garfield Project, a concentrated effort to retain and develop manufacturing jobs in a predominantly African-American community on Chicago's west side 30,000

Property Management Resource Center
Matching grant awarded to support citywide effort to improve the management of low-income affordable housing 15,000

Wells Community Initiative
Fiscal Agent: Centers for New Horizons
Payment toward a $45,000 grant for a comprehensive revitalization project for Ida B. Wells Public Housing on Chicago's south side with funds for evaluation of this demonstration effort 10,000

Subtotal $ 211,000
## Justice, Governance and Equal Opportunity

<table>
<thead>
<tr>
<th>Organization</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Chicago Metro Ethics Coalition</strong></td>
<td>Restricted to consultant Harriett McCullough's work with Cook County to develop an Ethics Ordinance setting standards for Cook County government operations</td>
<td>$1,000</td>
</tr>
<tr>
<td><strong>Chicago Women in Trades</strong></td>
<td>For Worksite 2000, an initiative with publicly-funded building projects, to engage public agencies, construction companies and unions in demonstrating model workplace policies so more women can attain and remain in construction jobs</td>
<td>2,500</td>
</tr>
<tr>
<td><strong>Citizens Information Service of Illinois</strong></td>
<td>Restricted to publication of the &quot;City Council/County Board Report&quot;</td>
<td>5,000</td>
</tr>
<tr>
<td><strong>John Howard Association</strong></td>
<td>For a concentrated public education initiative to alert the media, legislators, and the public to recommendations of the Governor’s Task Force on Crime and Corrections, particularly to the need for alternatives to incarceration</td>
<td>25,000</td>
</tr>
<tr>
<td><strong>National Assembly of Religious Women</strong></td>
<td>Restricted to a leadership development and training project known as &quot;Homeless Women: Creating Community, Creating Change&quot;</td>
<td>10,000</td>
</tr>
<tr>
<td><strong>Native American Educational Services, Inc. (NAES College)</strong></td>
<td>First payment of $31,000 grant for Chicago campus costs of the American Indian Children and Family Project, to address how urban and tribal courts treat families at risk of losing custody of their children</td>
<td>15,500</td>
</tr>
<tr>
<td><strong>Roger Baldwin Foundation of the A.C.L.U., Inc.</strong></td>
<td>First payment of two-year $35,000 renewal grant for the Children's Initiative monitoring implementation of a court-ordered consent decree to reform the IL Department of Children and Family Services' handling of children in their care</td>
<td>20,000</td>
</tr>
</tbody>
</table>
University of Chicago Law School
Toward registration scholarships for the three-day conference on “Speech Equality and Harm: Feminist Legal Perspectives on Pornography and Hate Propaganda” $ 4,000

Subtotal $ 83,000

Total Community and Civic Issues $ 423,900

EDUCATION

Pre-Collegiate Education

Chicago Neighborhood Organizing Project
Renewal support for organization providing training and organizing for school reform on the northwest and southwest sides of the city $ 20,000

Chicago Panel on Public School Policy & Finance
Third payment of three-year $120,000 grant for the Monitoring School Reform in Chicago Project $ 40,000

Chinese American Service League
Restricted to a school reform organizing effort as part of this agency’s Leadership Generation Program and for consulting for community organizing $ 12,500

Designs for Change
Three-year grant totaling $150,000 for SCHOOLWATCH, a program of parent organizing, advocacy, and technical assistance to local school councils, community groups, and parents $ 50,000

Fund for Educational Reform
Fiscal Agent: Fel-Pro Mecklenburger Foundation
Renewal support for regrant program established by several funders to assist applicants pursuing parent and community involvement in school reform $ 10,000

Latino Institute
Restricted to costs associated with organizing the Chicago Association of Local School Councils (CALC) to bring together school-based managers to address their common concerns $ 15,000
Lawyers' School Reform Advisory Project
Fiscal Agent: Chicago Lawyers' Committee for Civil Rights Under Law, Inc.
For project providing pro bono legal assistance for local school councils including services, ombudsmanship, and advocacy  $15,000

Total Education $162,500

ARTS AND HUMANITIES

Chamber Music Chicago
First payment of $30,000 three-year grant for general operating expenses 10,000

Chicago Sinfonietta
Renewal grant for general operating support 10,000

Civic Orchestra of Chicago

Parent Group: The Orchestral Association
First payment of $30,000 three-year grant for general operating support 10,000

ETA Creative Arts Foundation, Inc.
Second payment of two-year $20,000 grant for general expenses 10,000

Field Museum of Natural History
Grant restricted to the design and production of the extensive new “Africa” exhibit 25,000

Illinois Humanities Council
First payment of three-year grant towards the annual, multi-disciplinary Chicago Humanities Festival 5,000

The Lira Singers
Restricted to salary of a part-time assistant director for group specializing in Polish music 5,000

Live Bait Theatrical Company
Restricted to preproduction expenses for the play “Freud, Dora, and the Wolfman” 5,000

Mexican Fine Arts Center Museum
For the Center’s performing arts programming 10,000

Muntu Dance Theatre
First payment of two-year grant toward expenses of Africa-inspired dance company 10,000
**Old Town School of Folk Music**  
Second payment of two-year $20,000 grant for institution providing educational and performance opportunities for national and international folk music  
$ 10,000

**Pegasus Players**  
Second payment of two-year $20,000 grant for theatre group artfully combining performances and community service  
10,000

**Performance Community**  
First payment of two-year $20,000 grant towards expenses of multitheartre performance space and services used by various nonprofit groups  
10,000

**Randolph Street Gallery, Inc.**  
First payment of three-year $30,000 grant for organization offering visual and performance art  
10,000

**Shakespeare Repertory**  
Second payment of two-year $20,000 grant for theatre group  
10,000

**Touchstone Theatre**  
Toward cost of hiring a box office manager and a director of development  
5,000

<table>
<thead>
<tr>
<th>Total Arts and Humanities</th>
<th>$ 155,000</th>
</tr>
</thead>
</table>

**SPECIAL PURPOSE GRANTS**

**Chicago Theological Seminary**  
For a conference for African-American church leaders to discuss programs and strategies to help develop young males in the community  
2,500

**Council of Illinois Nonprofit Organizations**  
First payment of two-year $10,000 grant for membership group addressing issues important to nonprofits  
5,000

**Donors Forum of Chicago**  
First payment of three-year $7,500 grant to support expansion of services of this Chicago-area membership organization; includes developing a more public role in critical issues facing Chicago  
2,500

<table>
<thead>
<tr>
<th>Total Special Purpose Grants</th>
<th>$ 10,000</th>
</tr>
</thead>
</table>

| Total Chicago Grants Paid | $1,873,500 |
Independent Auditors' Report

The Board of Trustees
Woods Charitable Fund, Inc.:

We have audited the accompanying statement of assets and fund balance (arising from cash transactions) of the Woods Charitable Fund, Inc. as of December 31, 1992, and the related statements of changes in income fund and changes in principal fund (arising from cash transactions) for the year then ended. These financial statements are the responsibility of the Fund's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

As described in note 1, these financial statements were prepared on the cash basis, which is a comprehensive basis of accounting other than generally accepted accounting principles.

In our opinion, the financial statements referred to above present fairly, in all material respects, the assets and fund balance (arising from cash transactions) of the Woods Charitable Fund, Inc. at December 31, 1992, and the changes in income and principal fund balances (arising from cash transactions) for the year then ended, on the basis of accounting described in note 1.

KPMG Peat Marwick

Chicago, Illinois
March 15, 1993
## STATEMENT OF ASSETS AND FUND BALANCE
(arising from cash transactions)

<table>
<thead>
<tr>
<th>Assets</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash (including savings accounts and money market accounts)</td>
</tr>
<tr>
<td>Investment securities:</td>
</tr>
<tr>
<td>- Mutual funds, at cost (market value $158,631)</td>
</tr>
<tr>
<td>- Treasury notes and bills, at cost (market value $6,027,881)</td>
</tr>
<tr>
<td>- Common and preferred stocks, at cost (market value $43,989,545)</td>
</tr>
<tr>
<td>Partnership interests:</td>
</tr>
<tr>
<td>- The Golder, Thoma Fund (note 6)</td>
</tr>
<tr>
<td>- Pfingsten Executive Fund, L.P. (note 7)</td>
</tr>
<tr>
<td>Program-related investment</td>
</tr>
<tr>
<td><strong>Total Assets</strong></td>
</tr>
</tbody>
</table>

## Fund Balance

| Principal fund (Exhibit C)                                           | $37,844,276 |

See accompanying notes to financial statements.
STATEMENT OF CHANGES IN INCOME FUND  
(arising from cash transactions)  

<table>
<thead>
<tr>
<th>Woods Charitable Fund, Inc.</th>
<th>Year Ended December 31, 1992</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Income</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Interest:</strong></td>
<td></td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>$ 1,152</td>
</tr>
<tr>
<td>U.S. Treasury notes and bills</td>
<td>296,037</td>
</tr>
<tr>
<td>Checking accounts, interest bearing</td>
<td>11,637</td>
</tr>
<tr>
<td>Miscellaneous interest</td>
<td>35</td>
</tr>
<tr>
<td><strong>Total interest income</strong></td>
<td>308,861</td>
</tr>
<tr>
<td><strong>Dividends:</strong></td>
<td></td>
</tr>
<tr>
<td>Common and preferred stock</td>
<td>677,464</td>
</tr>
<tr>
<td>Mutual funds</td>
<td>29,009</td>
</tr>
<tr>
<td><strong>Partnerships:</strong></td>
<td></td>
</tr>
<tr>
<td>The Goldier, Thoma Fund (note 6)</td>
<td>5,378,641</td>
</tr>
<tr>
<td>Pfingsten Executive Fund, L.P. (note 7)</td>
<td>(19,258)</td>
</tr>
<tr>
<td><strong>Money market funds</strong></td>
<td>114,710</td>
</tr>
<tr>
<td>Refund of 1991 excise tax</td>
<td>1,833</td>
</tr>
<tr>
<td><strong>Total income</strong></td>
<td>6,491,260</td>
</tr>
<tr>
<td><strong>Deductions</strong></td>
<td></td>
</tr>
<tr>
<td>Grants paid</td>
<td>2,575,580</td>
</tr>
<tr>
<td><strong>Expenses:</strong></td>
<td></td>
</tr>
<tr>
<td>Memberships and dues</td>
<td>13,445</td>
</tr>
<tr>
<td>Legal, audit, tax and financial services</td>
<td>59,369</td>
</tr>
<tr>
<td>Investment advisory fee</td>
<td>133,716</td>
</tr>
<tr>
<td>Program-related consultation</td>
<td>21,104</td>
</tr>
<tr>
<td>Rental and telephone expense</td>
<td>37,766</td>
</tr>
<tr>
<td>Salary and related expenses</td>
<td>351,995</td>
</tr>
<tr>
<td>Conferences and meetings</td>
<td>20,861</td>
</tr>
<tr>
<td>Sundry expenses</td>
<td>50,396</td>
</tr>
<tr>
<td><strong>Taxes:</strong></td>
<td></td>
</tr>
<tr>
<td>Foreign tax withheld at source</td>
<td>8,216</td>
</tr>
<tr>
<td>Estimated excise tax paid on 1992 investment income</td>
<td>184,300</td>
</tr>
<tr>
<td><strong>Total deductions</strong></td>
<td>3,456,748</td>
</tr>
<tr>
<td>Income for the year ended December 31, 1992</td>
<td>$ 3,034,512</td>
</tr>
</tbody>
</table>

*See accompanying notes to financial statements.*

---

STATEMENT OF CHANGES IN PRINCIPAL FUND  
(arising from cash transactions)  

<table>
<thead>
<tr>
<th>Woods Charitable Fund, Inc.</th>
<th>Year Ended December 31, 1992</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Principal fund balance at December 31, 1991</strong></td>
<td>$ 31,949,209</td>
</tr>
<tr>
<td><strong>Additions to principal for year ended December 31, 1992:</strong></td>
<td></td>
</tr>
<tr>
<td>Income (Exhibit B)</td>
<td>3,034,512</td>
</tr>
<tr>
<td>Gain on sale of common and preferred stocks</td>
<td>2,841,510</td>
</tr>
<tr>
<td>Gain on sale of mutual fund shares</td>
<td>19,045</td>
</tr>
<tr>
<td><strong>Principal fund balance at December 31, 1992</strong></td>
<td>$ 37,844,276</td>
</tr>
</tbody>
</table>

*See accompanying notes to financial statements.*
NOTES TO FINANCIAL STATEMENTS

Woods Charitable Fund, Inc.  December 31, 1992

(1) Significant Accounting Policy
   The financial statements of the Woods Charitable Fund, Inc. (the Fund) are prepared on the basis of cash transactions. Accordingly, dividend income is recognized when received and all expenses are recognized when paid. Under generally accepted accounting principles, dividend income would be recognized when earned and all expenses would be recognized when incurred.

   The fund uses the specific identification method for determining cost of securities sold.

(2) Historical Information
   Woods Charitable Fund, Inc. was incorporated in the State of Nebraska on November 7, 1941, as a charitable corporation not for pecuniary gain. On September 15, 1961, the Articles of Incorporation were amended to qualify the Fund under the Nebraska Nonprofit Corporation Act of 1959.

(3) Market Value of Fund's Assets
   Market values at December 31, 1992 were determined as follows:

   Investments in United States Government securities at latest bid quotation.

   Common and preferred stocks at closing prices of national securities exchanges or latest bid quotation.

   Including cash, the total market value of the Fund's assets at December 31, 1992 is $53,604,862.

(4) Federal Excise Tax
   The Internal Revenue Service has classified the Fund as a private foundation as defined in Section 509(a) of the Internal Revenue Code.

   Estimated 1992 federal excise tax liability is $185,200, against which estimated excise tax payments of $184,300 have been made.

(5) Commitments to Pay Grants
   The Fund is committed to pay grants aggregating $986,706 at December 31, 1992. Certain of such commitments are contingent upon the fulfillment of specified conditions.
(6) **Investment in The Golder, Thoma Fund**

The Fund, as of December 31, 1992, made capital contributions in the amount of $1,500,000 to The Golder, Thoma Fund, a venture capital partnership. During the period of investment the Fund has shared in the results of the partnership and has received a return of part of the capital invested. The investment’s fair market value of $234,089 at December 31, 1992, is computed by the Fund’s investment in Golder, Thoma plus its portion of the unrealized depreciation of partnership assets as determined by Golder, Thoma in its annual financial statements.

The following summarizes activity regarding the Fund’s investment for the year ended December 31, 1992:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment at December 31, 1991</td>
<td>$ 415,618</td>
</tr>
<tr>
<td>Pro rata portion of net income of The Golder, Thoma Fund for the year ended December 31, 1992</td>
<td>5,378,641</td>
</tr>
<tr>
<td></td>
<td>5,794,259</td>
</tr>
<tr>
<td>Less distribution in 1992:</td>
<td></td>
</tr>
<tr>
<td>Fair market value of stock distributed in kind</td>
<td>5,461,786</td>
</tr>
<tr>
<td>Cash</td>
<td>8,336</td>
</tr>
<tr>
<td>Investment at December 31, 1992</td>
<td>$ 324,137</td>
</tr>
</tbody>
</table>

(7) **Investment in Pfingsten Executive Fund, L.P.**

The Fund, as of December 31, 1992, made initial capital contributions in the amount of $186,313 to the Pfingsten Executive Fund, L.P., a venture capital partnership. The investment’s fair market value of $167,055 at December 31, 1992 is the Fund’s year-end investment in Pfingsten Executive Fund.

The following summarizes activity regarding the Fund’s investment for the year ended December 31, 1992:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investment during 1992</td>
<td>$ 186,313</td>
</tr>
<tr>
<td>Pro rata portion of net loss of Pfingsten Executive Fund, L.P. for the year ended December 31, 1992</td>
<td>(19,258)</td>
</tr>
<tr>
<td>Investment at December 31, 1992</td>
<td>$ 167,055</td>
</tr>
</tbody>
</table>

(8) **Program-related Investment**

The foundation had one program-related investment at December 31, 1992:

Lincoln Public Schools Foundation
On June 17, 1991, the Woods Charitable Fund approved a $17,000 non-interest bearing loan to be used for implementation of a computer system and development of public relations materials for alumni contacts. The loan will be repaid at the end of three years.